11. Artificial Intelligence

Prof. Dr.-Ing. habil. Wolfgang Oertel

Goals:

- Concepts of intelligent systems
- Overview of basic concepts

Contents:

- Intelligent System
- Knowledge Representation
- Problem Solving



Focus: Intelligent artificial systems

1

Intelligent Systems



Universe of discourse: Whole area relevant for the system behaviour

Precondition:States and processes exist in space and time according to regularitiesTask:Control of actions depending on perceptionsGoal:correct behaviour, multi-dimensional performance criteria, survival

Intelligence levels:	- map-controlled	(look-up table)
	- reflex-controlled	(fix program)
	- state controlled	(environment mappings)
	- behaviour-controlled(adaptation	n by learning)
	- knowledge-controlled	(explicit regularities)
	- goal-controlled	(planning)
	- use-controlled	(optimisation)

Knowledge Representation

Knowledge Representation Agent: Agent getting knowledge about the real world that

- consists of the description of possible states, operations and dependencies,
- is used for derivation of state features and operation sequences
- can be changed and learned

Knowledge base: Set of statements as representations of facts of the real world

Inference method: Mechanism to derive new statements from existing statements

Knowledge representation language: Language for the specification of definitions, changes, and queries on the knowledge base

- Syntax (Set of statements)
- Semantics (Set of facts)

Fact

Real World:

Representation of knowledge about the real world:Example:Representation:Statementy = x + 1y > xInterpretationInterpretationy = x + 1y > xy = x + 1y = x + 1

Fact

5 = 4 + 1

5 > 4

Conclusion and derivation

Interpretation: Mapping from statements to facts

Truth: Each statement gets by interpretation a truth value: true / false (1 / 0)

- general / not to fulfil: statement that is in each interpretation true / false

- to fulfil / to falsify: sentence that is in at least one interpretation true / false

Model: Interpretation in which a statement is true

Conclusion: semantic relation between interpretations of statements F and G: all models of F are models of G: F |= G
Derivation: syntactic relation between statements F and G: G can be derived from F by application of en inference method: F |- G

Inference method: defines elementary rules for derivation and the control of their application:

- correct: if $F \models G$ then $F \models G$
- **complete**: if $F \models G$ then $F \models G$
- **monotone**: if $F1 \models G$ then (F1 and F2) $\models G$
- local: if $F \models G$, then F is a real part of the knowledgebase
- **Proof:** Recording of the application of derivation rules in en inference process
- **Logics:** formal system that defines syntax and semantics of a language by a calculus (with axioms and rules) derivations between statements of the language

Theory

Examples: sentence, predicate, temporal, epistemological, fuzzy, ontological modal logics

Predicate Logic:

Formula set and derivation operations:

```
Formula = AtomicFormula | ComplexFormula ;AtomicFormula = Predicate , '(', Terms , ')';ComplexFormula = '~', Formula | Formula, Junctor, Formula | Quantor, Variable, Formula |'(', Formula , ')';Terms = Term | Term , ',', Terms ;Terms = Term | Term , ',', Terms ;Term = Konstant | Variable | Function , '(', Terms , ')';Junctor = '^' | '~' | '~' | '~' | '~' ;Quantor = '\V' | '=' ;Separation:p , p \rightarrow q \vdash qCut:p \lor q, ~q \lor r \vdash p \lor rAll quantor removal:\forall xp \vdash p[x/t]Exist quantor introduction:p \vdash \exists xp[g/x]
```

Semantics: - Term: object of a domain

- Constant: directly specified object
- Variable: any unspecified object
- Function: unique mapping between objects
- Predicate: relation between objects

Examples:

Bird(Eagle) $\forall x \operatorname{Bird}(x) \rightarrow \operatorname{Fly}(x)$ $|-\operatorname{Fly}(\operatorname{Eagle})$ Mark(Anton, Physics, 1) Mark(Karl, Chemistry, 2) Mark(Anton, Music, 3) Mark(Karl, Music, 4)

 $\forall x(\exists f(Mark(x,f,1) \lor Mark(x,f,2)) \land$

~ $\exists gMark(x,g,n) \land > (n,3)) \rightarrow Specialist(x) \mid - Specialist(Anton)$

Prolog - Clause Logic:

- Features: Program consists of a set of horn clauses of PK1
 - Query is a formula **conjunctive normal form**
 - Special not operator considers failing as negation
 - Set of **built-in Predicates** are proven by execution
 - Use of a special syntax
 - Inference by backward chaining with depth-first search
 - Derivation rule is generalised modus ponens inclusive unification
 - Search order in clause and clause set sequential from left to right

Systems: IF-PROLOG, QUINTUS-PROLOG

```
Examples: member (X, [X | L]).
member (X, [Y | L]):- member (X, L).
```

```
\begin{array}{l} faculty \; (0\;,\;1):-\; !. \\ faculty \; (N\;,\;Z):-\; U\; is\; N\; -\; 1\;, \\ \quad \quad faculty \; (U\;,\;V)\;, Z\; is\; V\; *\; N\;. \end{array}
```

ancestor (X, Y) :- parents (X, Y). ancestor (X, Y) :- parents (X, Z), ancestor (Z, Y). parents (X, Y) :- father (X, Y). parents (X, Y) :- mother (X, Y). mother (X, Y) :- child (Y, X). father (X, Y) :- mother (X, Z), married (Z, Y). married (anna, anton). married (anna, martha).

- ?- member (3, [1, 2, 3, 4]). ?- member (Z, [1, 2, 3, 4]).
- ?- faculty (7, X). ?- faculty (X, 24).
- ?- ancestor (martha , X) .
 ?- ancestor (X , anton) .
 ?- ancestor (X ,Y) .
 ?- father (X ,Y) .

Production Rules:

Features: - A fact base contains a set of atomic formulas p1, p2, ... without variables.

- A **rule base** contains a set of implications of the form $(p1, p2, ...) \rightarrow (a1, a2, ...)$ with conditions on the left side and actions on the right side.
- The conditions are conjunctive and are related to the facts in the fact base.
- The actions are carried out sequentially by adding or deleting of facts in the fact base.
- By matching rules are determined with conditions are fulfilled in the fact base.
- By conflict **resolution** one rule is selected from a set of rules.
- An execution is carried out by application of the actions of a selected rule.
- Inference means a cycle with the three steps matching, resolution and execution until either the an end condition is reached or no more rules are applicable.

Systems: PLANNER, OPS5, LOOPS, KEE

Example:

{Vehicle(1,Empty), Vehicle(2,Empty), Vehicle(3,Empty), Station(1,Free), Station(2,Free)} {(Vehicle(x,Empty), Station(y,Free)) \rightarrow (~Station(y,Free), Station(y,Occupied,x)), (Station(y, Occupied,x)) \rightarrow (~Station(y, Occupied,x), ~ Vehicle(x,Empty), Vehicle(x,Full), Station(y,Free))}



Semantic Network:

Features: - Network with nodes and arcs.

- Nodes represent objects, arcs represent relation between them.
- Nodes and arcs belong to **predefined semantic categories**.
- The semantics of categories is defined by the inheritance of features
- Typical object categories are classes, instances, sets, actions, actors, times, locations, ...
- Typical relation categories are classification, generalisation, aggregation, values, ...
- Inference means **navigation** through the network using arcs and inheritance.
- The network si defined as graph or hypergraph.

Systems: KL-ONE

weight **Examples:** sub dattr peter sail magalhaes val 70kg suba sub agt poss station portuguese temp instr 1520 obi goethe-str sub loc sub pars sub between ship since america 1988 schiller-pl south

Frames:

Features: - Scheme describing an entity by a set of attribute-value pairs.

- A scheme is a stereotypic **description pattern** occurring in several situations
- Schemes are organised in a hierarchy.
- In the hierarchy inheritance rules are defined.
- Attributes are **slots** that can be filled by fillers (values).

Systems: KRL, FRL, FLAVORS, SMALLTALK

Examples:

unit basic <self> [trip <agent (a traveller)> <goalpoint (or (a city) (an recoveryplce))> <kind (**xor** flight ship train)>

degin (a date)> <duration (a timeinterval)> <costs (a price)> <tripnumber (a string)>] **unit basic** <self> [person <name (a string)> <firstname (a string)> <age (an integer)> <residence (an city)>] [traveller **unit spec** <self (a person)> <agecategory {(xor child adult) (using (the age from person thisone) selectfrom (which < 16) child otherwise adult)}> <tripnumber (**a** string)> <startpoint {(a trainstation)

[g1 unit indiv \langle self {(a person with name = "meyer" age = 40 firstname = "otto" residence = dresden) (a traveller with tripnumber = "r22" startpoint = leipzig) (a customer with account = "12343242")}>] [g2 unit indiv <self (**a** trip with agent = g1 goalpoint = rostock kind = train price = 500 euro $begin = (1 \ 8 \ 1988) duration = 14$ tripnumber = "r22")>] [berlin unit indiv <self (a city with trainstation= (items lichtenberg, ostbahnhof, schoenefeld))>]

(in (the trainstation from city (the residence from person thisone))); default }>]

Problem Solving

Problem-solving agent: Agent which determines a sequence of actions in its information system before carrying them out the real environment

Working step:

- Formulation of the problem
 - Search of a solution (in the information system)
 - **Execution** of the solution (in the real world)

Reasons: - Action can be carried out easier and faster within the information system.

- False Actions can be cancelled easier within the information system
- This means a simulation of actions before the real execution.
- Problem types:States: known, unknown, fixed, infinite, discrete, continuousOperations: known, unknown, deterministic, stochastic, reversibleEnvironment: accessible, not accessible

Formal Problem:

Problem:		
delivered:	- State	$Z = \{z1, z2,\}$
	- Operations	$O = \{o1, o2,\}, oi: Z \rightarrow Z$
	- Start state	A in Z
	- End state	E in Z
	- Cost function	$K(P) = \Sigma K(oi)$, oi in P
requested:	- Solution	L = P(A,E)

State space:

possible states connected by possible operations



Path: P(z1,zn)direct or indirect connection between two states
by a sequence of operations: (z1, o1, z2, o2, ..., zn)

Form of problem components: - explicit (elements of a sets) - implicit (functions or predicates)

Examples of problems:

8-Puzzle:

State: Arrangement of 8 numbered parts in a 3x3 area Operation: Motion of the empty field left, right, up, down

8-Queens-Problem:

State: Arrangement of 0 to 8 queens in save positions on a chess board Operation: Positioning of one queen on a free field

Crypto-Arithmetic:

State: Arithmetic task with letters, where same letters have been replaced by digits Operation: Replacement o each occurrence o on letter by one digit

Missionary and Cannibal Problem:

State: Number missionaries, cannibals, and boats on one side of the river Operation: 1 or 2 persons change the side of the river using the boat

Real Problems:Connection, Travelling, Navigation,
Construction, Manufacturing, Proofs

5	4	
6	1	8
7	3	2







General Search

Search: Find a path from the start state to the end stateSearch tree: Tree structure in the search space with the start state as root and connection to all following states that can be reached directly by operations

Complexity:

Breadth b: Number of successors of a node Depth d: Distance between root and leaf

Data structures:

```
Problem:
```

```
(Start node, Operations,
End node, Cost function)
Node:
(State, Path, Depth, Costs)
```

Search algorithm:

Store start state in node set

Repeat:If node set is empty then stop.
Take one node from node set.If node is end node; then finish.
Expand nodes with operations.
Add new nodes to node set.



Search Strategies

Strategy:	rategy: Determining in which sequence the nodes are expanded			
Evaluatio	n: - Completeness - Time complexity - Space complexity - Optimality	(one or all solutions) (time for solution) (memory for solution) (best solution)		

Space and time requirements: (Breadth = 10, 1000 Nodes/Second, 100 Byte/Node)

Depth	Node number	Time	Space
0	1	1 Milliseconds	100 Byte
2	111	100 Milliseconds	11 Kilobyte
4	11 Thousand	11 Seconds	1 Megabyte
6	1 Million	18 Minutes	111 Megabyte
8	100 Million	31 Hours	11 Gigabyte
10	10 Billion	128 Days	1 Terabyte
12	1000 Billion	35 Years	111 Terabyte
Direction:	- forward	- backward	- bidirectional
Expansion:	- breadth-first	- depth-first	
-	- uniform costs	- restricted depth	- Iterative deepening
Information:	- blind / uniform	- informed / heuristic	
Memory:	- tree	- graph	

Breadth-First Search:

Expand always the node on the highest level of the tree



Depth-First Search:

Expand always the node on the lowest level of the tree



Bidirectional Search:

Simultaneous expand nodes forward from the start and backward from the end



- Space complexity: $O(b^{d/2})$
- Optimality:

yes

Further Search Strategies:

Uniform Cost Search:

Expand the nodes with the minimal costs (special breadth-first search)

Restricted Depth Search:

Expand the nodes to a restricted depth (special depth-first search)

Iterative Depth Search:

Depth-first search with stepwise increasing the depth (special bidirectional search)

Evaluation:

- Completeness: yes
- Time complexity: O(b^d)
- Space complexity: O(b^d)
- Optimality: yes

Evaluation:

- Completeness: l>d
- Time complexity: O(b^l)
- Space complexity: O(bl)
- Optimality: nein

Evaluation:

- Completeness: yes
- Time complexity: O(b^d)
- Space complexity: O(bd)
- Optimality: yes

Heuristic Search

Heuristic Search: Use of knowledge about the domain to influence the search process (informed search)

 \rightarrow Controlling the selection of the nodes to be expanded

Problem relaxation: Weakening, Removing of restrictions of a problem (The costs for the solution of a relaxed problem are a good heuristic measure for the original problem)

Optimal Search Strategy: Problem solving costs Search Heuristics Information

Best-First-Search

Features:

- Ordering of the nodes according to the height of the assessed costs
- Evaluation function: delivers costs of a node
- Implementation with in a general search algorithm

Minimisation of the expected costs (goal-oriented search):

- Expected costs h to reach the goal E starting from a node n

 $h(n) \ge 0, \ h(E) = 0$

 \rightarrow Features: not optimal, not complete



Minimisation of the former and expected costs (A*-Search):

- Former costs g and expected costs h for a node n build the entire costs f (combination of minimal-costs and goal-directed search) expected minimal costs: f(n) = g(n) + h(n), $f(n) \ge 0$, f(E) = g(E)real minimal costs: $f^*(n) = g^*(n) + h^*(n)$ h optimistic: $h^*(n) \ge h(n) \ge 0$ f monotone

 \rightarrow Features: optimal, complete, efficient



Iterative Improvement

Precondition: Algorithm:	current problem state description (1) Start with any problem state of a partial solution (2) stepwise improvement of the solution
Hill climbing:	Evaluation of the results of the operation to be carried out Selection of the operation with the greatest ascent Advantage: very fast Disadvantage: only local maxima are found
Simulated annealing	Evaluation of the results of the operation to be carried out Selection of the operation with the greatest ascent with a certain probability Advantage: overcome local maxima Disadvantage: very slow
Evaluation f	unction: Evaluation

Problem space

Min-Max-Approach





Example: Chess (Breadth b = 35, 1000 States / s) \rightarrow Depth d = 4

Alpha-Beta-Approach





Example: Chess (Breadth b= 6, 1000 States / s) \rightarrow Depth d = 8

12. Advanced AI Approaches

Prof. Dr.-Ing. habil. Wolfgang Oertel

Goals:

- Overview of additional intelligent concepts
- Concepts combining several technologies

Contents:

- Grammars
- Fuzzy Systems
- Learning Methods
- Case-based Reasoning
- Neural Networks
- Genetic Algorithms



Focus: Advanced Techniques

Grammars

Grammar: Structure G = [T, N, R, s] with following features:

- T: not empty set of **Terminal symbols** (Alphabet)
- N: not empty set of **Nonterminal symbols**
- R: finite set of **Rules** r: $R \subseteq (T \cup N)^+ \times (T \cup N)^*$
- s: Start symbol $s \in N$

G defines a derivation relation \Rightarrow on $(T \cup N)^*$ with $xuy \Rightarrow xvy$, if $(u,v) \in R$ The reflexive transitive closure of the relation is called \Rightarrow^* by G generated formal language: set of all words on T, that can be derived by R from s: $L(G) = \{w | w \in T^* \land s \Rightarrow^*w\}$

Natural language: G = [{art,adj,nom,verb,adv}, {s,np,npn,vp}, {(s,np vp), (np,art npn), (np,npn), (npn,nom), (npn,adj npn), (vp,verb), (vp,verb np), (vp,verb adv)}, s] L(G) = {(art adj nom verb adv), (The big dog runs quickly)}

Graphics: $G = [\{a,b,c\}, \{s,g,h\}, \{(s,agh),(s,asgh),(hg,gh),(ag,ab),(bg,bb),(bh,bc),(ch,cc)\}, s]$ L(G) = {abc, aabbcc, aaabbbccc, ...}



Fuzzy-System

System for representation of different not exact, not precise, unsafe information and knowledge

Fuzzy Set:

set of ordered pairs of Elements and membership degrees

$$S = \{\{x, m_S(x)\} \mid x \in X, 0 \le m_S(x) \le 1\}$$

membership degree also possible by linguistic variable

Fuzzy Operation:

set-based operations

- Intersection: $A \cap B$: $m_{A \cap B}(x, y) = \min(m_A(x), m_B(y))$
- Union: $A \bigcup B: m_{A \cup B}(x, y) = \max(m_A(x), m_B(y))$
- Complement: $-A: m_{-A}(x) = 1 m_A(x)$

Fuzzy Reasoning:

Conclusions with the help of fuzzy rules:

- Fact: x is A
- Condition: if x is A then y is B
- Junctions: and, or, not
- Fuzzycomposition: Min-Max-Rule for building complex sets
 - Correlation minimum: $m_{Bi+}(y) = \min(m_{Bi}(y), m_{Ai}(x))$
 - Correlation product: $m_{Bi+}(y) = m_{Bi}(y) \cdot m_{Ai}(x)$ $m_S(w) = \max_i (m_{Bi+}(w))$
- Defuzzification: Problem solving by decomposition of complex sets



Diagnosis:		
	yes:	no:
yes:	0.04	0.06
no:	0.01	0.89
	yes: no:	S. Pain: yes: yes: yes: 0.04 no: 0.01



Uncertain Inference

Learning

Generalisation of concrete problem solutions for mapping of generic regularities



Classes: supervised / unsupervised

Example:

Hunger	Guests	Price	Rain	Туре	Time Friday	Wait
yes	many	high	no	chines	30-60 no	no
yes	many	high	no	chines	10-30 yes	yes
no	some	high	no	france	>60 yes	no
yes	many	low	yes	italien	0-10 no	yes
no	no	low	yes	burger	0-10 yes	no
yes	many	middle	yes	chines	0-10 no	yes





Case-Based Reasoning

Cyclic Approach that bases on the storage of former problems and solutions and their Reuse for the solution of similar current problems

Architecture:



Example:

Problem:	(Anamnesis Pain) (Alter 6) (Test ?) (Therapy ?))
Cases:	(Anamnesis Pain) (Alter 45) (Test CT) (Therapy Antibiotica))
Solution:	(Anamnesis Pain) (Alter 6) (Test MRT) (Therapy Antibiotica))



Neural Network

System that consists of many simple units that activate each other by sending of messages via changeable weighted connections with regard to thresholds



Special Networks:

- Feed-forward Net
- Back-propagation Net
- Kohonen Net
- Hopfield Net

Dimension: Neurons: $_{10}^{12}$; Synapses per Neuron: $_{10}^{3}$ Frequency: $_{10}^{2}$ Hz; Velocity: $_{10}^{2}$ m/s



Genetic Algorithm

System that consists of a population of individuals. Their behaviour is determined by genetic structures that develop evolutionary by combination, mutation, and selection



13. Programming Language Lisp³²

Prof. Dr.-Ing. habil. Wolfgang Oertel

Goals:

- Getting to know the basic concepts of an AI programming language
- Simple AI programming

Contents:

- Basics
- Introduction
- Syntax
- Semantics
- Functions
- Lists



Basics of the Language Lisp

What is Lisp?

LISP = LIStProcessor

- originally: developed by J. McCarthy for symbolic processing
- today: usable as higher universal programming language, especially for AI problems
- functional language: Programs compute functions that map input data to output data
- related languages: Scheme, ML, Miranda, Logo, Smalltalk, Forth

History:	 Predecessors 1956 - 1958: IPL, FORTRAN Kernel 1959 - 1965: LISP1, LISP1.5, StanfordLISP1.6 Extensions, Dialects 1966 - 1983: StandardLISP, FranzLISP, ZetaLISP, InterLISP, MacLISP, muLISP, SCHEME Standardisation 1984: CommonLISP, EuLISP, ISO LISP Objektorientation 1988: CLOS
Concepts:	 Processing of complex dynamic data structures (lists as passive structures) Functional programming (functions as active structures) Unified handling of data and programs interactive, interpretative programming integrated programming environment language embedding compromise between adequate programming and efficient implementation

Intuitive Introduction

Numeric:

2	>	2	
(+ 2 3)	>	5	
(+ (* 3 4) 2 0.5)	>	14.5	
(setf a 2.5)	>	2.5	
a	>	2.5	
(- a 3)	>	-0.5	
((lambda (x y) (-(* x x)y))3 a)	>	6.5	
(defun f1 (x y) (-(* x x)y))	>	f1	
(f1 3 a)	>	6.5	
Symbolic:			
'(* 3 4)		>	(* 3 4)
(car '(* 3 4))		>	*
(cdr '(* 3 4))		>	(3 4)
(cons '/ '(3 4))		>	(/ 3 4)
(car (cdr '(* 3 4)))		>	3
(setf b '(* 3 4))		>	(* 3 4)
b		>	(* 3 4)
(cons '+ (cons b '(2 0.5)))		>	(+ (* 3 4) 2 0.5)
((lambda (x y) (cons x (cdr y))) '/]	b)	>	(/ 3 4)
(defun f2 (x y) (cons x (cdr y)))		>	f2
(f2 '/ b)		>	(/ 3 4)

Evaluation:

(quote a)
a
(eval a)
(quote b)
b
(eval b)
(quote (f2 '/ b))
(f2 '/ b)
(eval (f2 '/ b))

Syntax (Data structure)

Object:

OBJECT (syntactically allowed / representable expression - ATOM (elementary object): NUMBER, SYMBOL - CONS (composite object): ordered pair of objects OBJECT

Internal Representation: Network of Cells and Pointers





External Representation: linear, use of names and brackets

- ATOM: Print name (Sequence of characters without space and bracket) NUMBER: defined syntax SYMBOL: else
- CONS: dotted pair (OBJECT . OBJECT)

```
Example: (x . 1)
(+ . (2 . (3 . nil)))
(lambda . ((x . (y . nil)) .
((unique . ((append . (x . (y . nil))) . nil)) .
nil)))
```

Additional rules for 2. Lisp-Object: - NIL: "." and 2. Object not necessary - CONS: "." and brackets of the 2. Object not necessary (+ 2 3) (lambda (x y) (unique (append x y)))

LIST: NIL, CONS

TRUE LIST: each CONS contains as 2. object a list

Semantics (Program structure)

Form:

FORM (semantically allowed / evaluable expression)				
- NUMBER (self-evaluating):	Number value			
- T, NIL (self evaluating):	T, NIL			
- SYMBOL (Variable):	Variable value	(binding by (setf x y))		
- TRUE LIST (Function call): Function value, side effect				
Evaluation of a true list (f $x1 x2 xn$):				
1. Sequential evaluation of the arguments x1, x2,, xn				
2. Application of the function f to the results of the evaluation				
3. Providing of the function value				

1

Example: 1

1		1
t	>	t
a	>	2.5
b	>	(* 3 4)
(+ 1 2)	>	3
(+ (* 2 a) -4)	>	1.0
(cons 1(car(cdr b)))	>	(1.3)
(setf a 3)	>	3

Function:



```
Example:
       ((lambda (x y) (+ (* x x) y)) 2 3)
                                                            7
                                                        >
                                                        > f3
        (defun f3 (x y) (+ (* x x) y))
        (f3 2 3)
                                                            7
                                                        >
        (defun f4 (x) (- (f3 x 4) 3))
                                                        >
                                                            f4
                                                            3.5
        (/ (f3 2 3) (f4 1))
                                                        >
        (defun faculty (x)
          (if(= x 0) 1 (* x (faculty (- x 1)))))
        (faculty 5)
                                                            120
                                                        >
```

Predefined Function Packages

Interpreter:

- (read) - Object transformation extern > intern
- Object transformation intern > extern (print x)
- (eval x) Object evaluation (standard)
- (quote x) Object evaluation avoiding (short: 'x)

Top-Level-Interpreter-Loop: (loop (print (eval (read))))

Predicates: (atom x) (symbolp x)	(consp x) (numberp x)	(null x) (eq x y)	(listp x) (equal x y)	
Arithmetic: (+ x1 xn) (< x1 xn)	(- x1 xn) (> x1 xn)	(* x1 xn) (<= x1 xn)	(/ x1 xn) (>= x1 xn)	(= x1 xn) (/= x1 xn)
Logic: (not x)	(and x1 xn)	(or x1 xn)		
Conditional Forms:				

(if x y z) (cond (x1 y11 ... y1m) ... (xn yn1 ... ynm))

Truth Values:

false: NIL

T or any other object unequal NIL true:

List Processing

Basic Functions:

(car x)	- 1. object of a list x (1. object of a cons)
(cdr x)	- List x without 1. object (2. object of a cons)
(cons x y)	- Adding object x in front of a list y (building a cons of the objects x and y)
(list x1 xn)	- Building a list of objects x1,, xn
(append x1 xn)	- List of objects from lists x1,, xn
(remove x y)	- List of objects from list y without object x
Principal: car, cdr de	eliver pointers to existing objects
cons, g	enerate new lists and deliver the pointer on them

No changing of arguments

Destructive Functions:

(setf x y)- Object x of a list is replaced by object y(nconc x1 ... xn)- Concatenation of the lists x1, ..., xn(delete x y)- Deletion of object x from list y

Principal: Changing of arguments

Set Functions:

- (member x y)
- (subsetp x y)
- (union x y)
- (intersection x y)
- (set-difference x y)
- Element
- Subset
- Union
- Intersection
- Difference

Examples of Objects and Forms

Objects:

5x341 (m(n)((a))(p r)) "1&-/A" 139.2 (nil nil) 10.3E-2 (lambda(x y z)(-x z y))(setf q 2) (1 - 2 - 3 - 4)(-1234) $(1 \ 2 \ 3 \ 4 \ . \ 5)$ (3.4.5)2...4 $(1 \setminus (\setminus (4))$ 3\.14 (1(2))(3 4)5)888 ($(1 \cdot 2 \cdot 3 \cdot 4 \cdot 5)$ (3.4.5)

Forms:

(atom 'lisp)	>	t
(consp 12.456)	>	nil
(null 0)	>	nil
(listp nil)	>	t
(symbolp '(d r e s d e n))	>	nil
(numberp 'zwei)	>	nil
(eq '(1 2) '(1 2))	>	nil
(equal '(1 2) '(1 2))	>	t
(+(-(*(/ 12 3 2)5)1 -2)-11)	>	0
(* 2.5 3 1)	>	7.5
(< 1 2 3.2 7)	>	t
(= 3 3.0 (/ 6 2))	>	t
(not 23.5)	>	nil
(not nil)	>	t
(and 1 t(setf a 3)nil(setf b 4)a)	>	nil
(or nil(setf a nil)1(set b t))	>	1
(if t 1 2)	>	1
(if(and(< a 5)(> a 5))3 4)	>	4
(cond ((< 3 2) 4 5 6)		
((= 3 3)(setf b 5)7)		
(t(- 1 2)))	> 7	

```
(setf y (cons 'x 5))
(car y)
(cdr y)
(setf x '(2 3))
(setf x (cons 1 x))
(+ (car(cdr(cdr x))) (caddr x))
(list 1 2 x 3)
(list x '(4 5))
(append x '(4 5))
(remove 2 x)
Х
(nconc x '(4 5))
Х
(delete 2 x)
(setf x(cdr x))
(setf(cadr x)6)
(setf(caddr x x)
(setf x '(3 6 5))
(setf(cddr x)x)
(member 4 '(1(4 5)2 3))
(member 2 '(1(4 5)2 3))
(intersection '(1 2 3) '(3 4 5))
(union '(1 2 3) '(3 4 5))
```

```
(x . 5)
>
>
     Х
     5
>
>
   (23)
     (1 \ 2 \ 3)
>
     6
>
>
     (1 \ 2 \ (1 \ 2 \ 3) \ 3)
     ((1 \ 2 \ 3) \ (4 \ 5))
>
     (1 \ 2 \ 3 \ 4 \ 5)
>
   (1 3)
>
    (1 2 3)
>
    (1 \ 2 \ 3 \ 4 \ 5)
>
   (1 \ 2 \ 3 \ 4 \ 5)
>
    (1 \ 3 \ 4 \ 5)
>
     (3 \ 4 \ 5)
>
     6
>
     (3 \ 6(3 \ 6(3 \ 6(\ldots)))))
>
>
    (3 6 5)
     (3 6 3 6 3 6 ...)
>
     nil
>
> (2 3)
> (3)
>
     (1 \ 2 \ 3 \ 4 \ 5)
```

Program Example

Union of sets: Sets are represented as lists

Data structure: (1 2) (2 3) (1 2 3 4) (a b(c)(d e)) (h b(d e)(a))

Program structure:	<pre>(defun my-union (x y) (unique (append x y))) (defun unique (x) (cond ((null x) nil) ((member (car x) (cdr x)) (unique (cdr x))) (t (cons (car x) (unique (cdr x))))))</pre>
Trace protocol:	<pre>> (my-union '(1 2) '(2 3)) > (append '(1 2) '(2 3)) < (1 2 2 3) > (unique '(1 2 2 3)) > (unique '(2 2 3)) > (unique '(2 3)) > (unique '(3)) > (unique nil) < nil < (3) < (2 3) < (1 2 3) < (1 2 3)</pre>

14. Common Lisp

Prof. Dr.-Ing. habil. Wolfgang Oertel

Goals:

- Overview of a professional system to implement artificial intelligent system
- Standardised Programming language for AI applications

Contents:

- Language Overview
- Data Structures
- Program Structures
- Environment



Language Overview





Data Structures

- **character**: Characters with Bits, Font, Code attribute and name, syntax: #font\bits-name Example: #\A #\Control-B #\Control-Meta-1 #\Excape #3\4
- string-char: Characters for Strings; Font- and Bits attribute 0 Example: #\a #\backspace #\tab
- standard-char: Standard characters (94 ASCII) Example: #\3 #\Z #\space #\newline
- readtable: Determining of the syntax type of a character
- hash-table: efficient mapping between two sets, hash function (make-hash-table test size) (sxhash object)
- random-state: Random state generator (make-random-state state) (random number state)
- pathname: File description Example: #p"e:\\lisp\\test.lsp"
 (make-pathname host device directory name type version) (pathname-device pathname)
- stream: Source or sink of data
 (open file) (make-string-output-stream) (make-string-input-stream string)
 (print object stream) (read stream) (format stream string objects)
 (read-byte stream) (read-char stream) (read-line stream)
 (write-byte int stream) (write-char char stream) (write-line string stream)
 (close stream) Example: (setf file(open"data.lsp")) (print(read file)) (close file)
- package:Name space for symbols
(make-package name) (use-package packages) (unuse-packages packages)
(intern string) (unintern symbol)
(export symbols package) (import symbols package)

number: Number of any length and basis

- **rational**: Rational number Example: 1.2 –99.99
- **integer**: Fixed number (fixnum oder bignum) Example. 33 -44
- **ratio:** Relation of two fixed numers Example: 2/3 -10/7
- float: Floating point number with different precisions (E, S, F, D, L) Example: -0.77e10 33.2d-1
- **complex**: Complex number Example: #c(0 1) #c(5/3 7.0f2) (complex re im) (realpart nu)
- array: Multi-dimensional field of objects with integer indexes Example: #2a((1 2)(2 3)(3 4)) #3a(((NIL NIL)(NIL NIL))((NIL NIL)(NIL NIL))) (make-array '(dim1 dim2 ...) (aref array idx1 idx2 ...) (setf (aref array idx1 idx2 ...) value)
- vector: One-dimensional field Example: #(1 2.3 wo (3(4 5)))
- string: Character string Example: "Work" "1 + 2 3"
 (make-string size) (char string idx) (string= string1 string2)
- **bit-vector**: Sequence of bits Example: #*011001 (bit vector idx) (bit-not vector) (bit-and vector1 vector2) (bit-or vector1 vector2)
- structure: Composite data object with a fixed number of named objects
 Example: #s(gear performance 1000 rotations 50 components (shaft bearing))
 (defstruct name slot1 value1 slot2 value2 ...) (make-name structure) (name-slot structure)

armhal. N	Jamed abiant consisting of	the components	-	49)
ai	nd package Exar	nple: car use	er::f1 #	:a12	
Generatio	on: (make-symbol)	string)	x (gensyn	n)	
Access:	(symbol-name)	x)	(print x)	, ,	
	(symbol-value)	x)	X		
	(symbol-function	on x)	(x y)		
	(symbol-plist x)	(get x y)		
	(symbol-packag	ze x)			
Changing	g: (setf (symbol	(x) (x) (x) (x)	x y) z)		
0	(setf x y) (mal	ceunbound x) (d	efun x y z)	(fmakunbound x)	
Example:	(setf x 1) (defun x(a b) (+ a b))	(setf(qet	'x 4)2) (setf(get 'x 1)3)	
1	(symbol-name 'x)	> "x"			
	(symbol-value 'x)	> 1			
	(symbol-function 'x)	> (lam	ıbda(a b)	(+ a b)	
	(symbol-plist 'x)	> (1 3	842)		
	(symbol-package 'x)	> "use	er"		
	(x x (get 'x 4))	> 3			
sequence:	Ordered sequence of obje (concatenate type seq1 seq	ctsExample:2)(length seq)	(1 2 3) (sort seq tes	<pre>#(1 2 3) "123" st) (some pred seq) (every pred seq)</pre>	
cons: Orde list: List of null: Cont	ered pair of objects of objects ains only NIL as objects				
nil: Emptyt: All datacommon:	y data type type Data types of the Common	n Lisp Standard			

```
function: Function Example: #'append #'(lambda(x y)(* x y))
 Lambda-List:
                  (x1 x2
                                              Position parameter obligatory
                   & optional x3 (x4 v4)
                                              Position parameter optional
                   &rest x5
                                              Rest parameter
                   &key x6 (x7 v7)
                                              Keyword parameter
                   &aux x8 (x9 v9)
                                              Local variable
         (funcall f obj1 obj2 ...) (apply f objlist) (mapc f list1 list2 ...) (mapcar f list1 list2 ...)
         (member obj list :test f) (sort seq f)
         (values form1 form2 ...) (multiple-value-setq (var1 var2 ...) form)
         Example: (
         (funcall (caddr '(+ - / *)) 1 2 4)
                                                       > 0.125
         (apply #'+ '(1 2 3 4))
                                                       > 10
                                                       > (t nil nil)
         (mapcar #'numberp '(1 a (2 3)))
         (mapcar #'+ '(1 2 3) '(2 3 4) '(3 4 5)) > (6 9 12)
         (defun f1 (x1 & optional x2 & rest x3) (list x1 x2 x3))
         (f1)
                                                  break
                                              >
         (f1 1)
                                                (1 nil nil)
                                              >
                                              > (1 2 (3 4 5))
         (f1 1 2 3 4 5)
         (defun f2 (x1 x2 &key x3 x4 &aux x5 (x6 6)) (list x1 x2 x3 x4 x5 x6))
         (f2 1 2 :x4 4)
                                                (1 2 nil 4 nil 6)
                                              >
```

50

compiled-function: Compiled function

(compile function-name lambda-expression) (compile-file file)

Backquote-Macro : Evaluation within a quotation				
Example: `(a b , (+ 3 4) d)	>	(a b 7 d)		
(setf x `(c d))				
`(a b ,x (,(cadr x)) (e ,x))	>	(a b (c d)	(d)	(e (c d)))

Program Structures

function: Function that can be applied to objects
Binding: - unbound
Example: (funcall #'(lambda (x y) (+ x y)) 1 2)
- special (global, temporally limited, by Heap realised, symbol-function)
Example: (defun f (x y) (+ x y)) (f 1 2) (fmakunbound 'f)
- lexical (local, spatially limited, by Stack realised)
Example: (labels ((f (x y) (+ x y))) (f 2 1))
Search strategy: lexical > special > break

named-function: Named function

lambda-expression: Lambda-expression

form: Form, evaluable obect

self-evaluating: Object with itself as value (number, character, string, bit-vector, t, nil)

```
variable: Variable, object with bound value
Binding: - unbound Example: 1
- special (symbol-value) Example: (setf x 1) x (makunbound 'x)
- lexical Example: (lambda (x) (- x 1)) (let ((x 1)) x)
Example: (setf x 1) (defun f1 (x y) (+ x y (f2 x y)))
(defun f2 (a b) (- a b x y (funcall #'(lambda (a) (* a b x) 2)))
(f1 3 4) > break
(setf y 5)
(f1 3 4) > -8
Search strategy: lexical > special > break
```

list: List, kind of evaluation depends on first element, further elements are forms (object form1 form2 ...)

function-call: Function call, standard evaluation strategy (application of an object to evaluated forms)

special-form: Special form with separate evaluation strategy (quote form) (setf symbol value) (if cond form1 form2) (function func) (labels functionlist form) (progn form1 form2 ...) (let (var1 var2 ...) form1 form2 ...) (unwind-protect form1 form2) (catch tag form) (throw tag result) (go tag) (return-from name result)

 top-level-form: On top-level (empty lexical environment) evaluable form considering by compiler
 (defun name lambdalist forms) (defvar name value) (defparameter name value)
 (defconstant name value) (declare specifications) (defmacro name lambdalist forms)

macro-call: Macro call

Macro = Function for transformation of forms with subsequent evaluation

- Macro expansion (transformation: form \rightarrow form)

- Macro evaluation (evaluation of the expanded form)

Goal: Increased Efficiency, Changed evaluation strategy

Example: (push x y) = (setf y (cons x y)) (pop y) = (progl (car y) (setf y (cdr y))) (defmacro pot (x y) (let (h) (dotimes (i y) (push x h)) (cons '* h)))) (defun kubik (x) (pot x 3)) Expansion: > (* x x x) Predefined Macros: (loop forms) (do ((var1 init1 step1) ...) (end) forms) (dotimes (var number) forms) (dolist (var list) forms) (prog (var1 var2 ...) forms)

Environment

Practical Systems:

Lisp machines as universal computer: not successful

- MIT, LMI : CADR; Symbolics: 3600; Xerox: Dorado, Dolphin

Common Lisp implementations with programming environments and additional tools

 \rightarrow available on all hardware and software platforms:

- Allegro Common Lisp (Franz)
- LispWorks (Harlequin)
- CLisp (Steingold, Haible)

large number of implementations and applications

Programming Environment:

- Programming paradigms:	Functional, imperative, rule-, logic-, object-oriented
- Object orientation:	CLOS
- Interpreter / Compiler:	Interpretative working, partial compilation, executables
- Editor / Debugger:	File-Editor, Structure editor, Trace, Break, Step, Inspect, Documents
- Interface:	Programming language C; operating system access; user interfaces; interface builder
- Database access:	Relational systems by ODBC: ORACLE, SYBASE, ACCESS, Object-oriented systems: ITASCA, STATICE, ALLEGRO-STORE
- Networking:	Sockets, Internet

Applications

Fields: - Prototyping

- AI systems, knowledge-based systems
- Dynamic flexible systems
- Teaching

Applications:

- Formula manipulation (REDUCE)
- Knowledge processing (MYCIN)
- Tools (KEE, BABYLON)
- Problem solving (MOLGEN)
- Language processing (VERBMOBIL)
- Programming environment (EMACS)
- Diagnosis (D3)
- Planning (PRODIGY)
- Logic interpreter (KRIS)
- Software technology (COSEM)
- Development environment (FAENSY)

Other basic languages:

- Prolog
- Java (Java Rules engines, Drools)

15. AI Programming Examples

Prof. Dr.-Ing. habil. Wolfgang Oertel

Goals:

- Implementation of knowledge bases and respective interpreter
- Solving of concrete knowledge-based application problems

Contents:

- Recursive Programming
- Semantic Network
- Production Rules
- General Problem Solver
- VRML Script Generation



Focus: Intelligent Applications

55

Example: Symbolic Differentiation

Problem:

```
x^{3} + 5\sin x1 \cdot 3x^{2} + 5 \cdot 1\cos x + 0\sin x3x^{2} + 5\cos x
```

Solution:

```
(defun diff (x v)
(cond ((eq x v) 1)
 ((atom x) 0)
 ((member(car x)'(+ -)) (list(car x)(diff(cadr x)v)(diff(caddr x)v)))
 ((eq(car x)'*) (list '+(list '*(diff(cadr x)v)(caddr x)))
                  (list '*(cadr x)(diff(caddr x)v))))
 ((eq(car x)'/) (list '/(list '-(list '*(diff(cadr x)v) (caddr x)))
                          (list '*(cadr x)(diff(caddr x)v)))
                  (list 'pot(caddr x)2)))
 ((eq(car x)'sin) (list '*(diff(cadr x)v)(list 'cos(cadr x))))
 ((eq(car x)'cos) (list '*(diff(cadr x)v)(list '-(list 'sin(cadr x)))))
 ((and(eq(car x) 'pot) (numberp(caddr x)))
  (list '* (diff(cadr x)v)
   (list '*(caddr x)(list 'pot(cadr x)(-(caddr x)1)))))
  . . .
 (t (append '(no rule for) (list x)))))
(defun simp (x)
(cond ((atom x) x))
 ((and (member(car x)'(+ -)) (member 0 x)) (simp(car(remove 0(cdr x)))))
 ((and(member(car x)'(*))(member 1 x)) (simp(car(remove 1(cdr x)))))
 ((and (member (car x)'(*)) (member 0 x)) 0)
 ((and(numberp(cadr x)) (numberp(caddr x))) (eval x))
 (t (cons(car x) (mapcar #'simp(cdr x))))))
```

Example: Semantic Network

Problem:

- (defarc 'martha 'mother 'anna) (defarc 'anna 'married 'fritz) (defarc 'fritz 'mother 'frieda) (defarc 'female 'sex 'martha) (defarc 'female 'sex 'anna) (defarc 'female 'sex 'frieda)
- (defarc 'male 'sex 'fritz)



(mother '(martha))
(father '(martha))
(parents '(martha))
(grandmother '(martha))
(child '(anna))
(daughter '(fritz))
(ancestor '(martha))

- > (anna)
- > (fritz)
- > (fritz anna)
- > (frieda)
- > (martha)
- > (martha)
- > (frieda fritz anna)

Solution:

```
(defun defarc (x r y) (setf (get x r) (cons y (get x r))))
(defun delarc (x r y) (setf (get x r) (remove y (get x r))))
(defun getnod (x r) (if x (union (get (car x) r) (getnod (cdr x) r))))
(defun restr (x f) (remove-if #'(lambda (s) (null (funcall f s))) x))
(defun mother (x) (getnod x 'mother))
(defun married (x) (getnod x 'married))
(defun sex (x) (getnod x 'sex))
(defun father (x) (married (mother x)))
(defun parents (x) (union (mother x) (father x)))
(defun grandmother (x) (mother (parents x)))
(defun child (x) (restr (sex '(male female))
                 #'(lambda(s) (intersection x (parents(list s))))))
(defun daughter (x) (intersection (child x) (sex '(female))))
(defun ancestor (x) (if x (union (parents x) (ancestor (parents x)))))
```

Example: Production Rules

Problem:

```
(setf rules
    '((((it rains)) -> (wet streets))
        ((wet streets)) -> (slip danger))
        (((bad tires)) -> (slip danger))))
(setf facts '((it rains)))
(pri '((slip danger)) rules facts)
```

Solution:

```
(defun pri (condi ruleset factset)
  (prog (rule rules match matches trace (facts factset))
  loop0 (setf rules ruleset)
        (if (end-condition condi facts) (return (list 'solution facts)))
  loop1 (cond ((setf rule (pop rules)))
                (setf matches (rule-condition rule facts)))
               ((multiple-value-setg (rule rules match matches facts)
                (apply 'values (pop trace))))
               ((return (list 'no-solution facts))))
        (unless (setf match (pop matches)) (go loop1))
        (push (list rule rules match matches facts) trace)
        (setf facts (rule-action rule match facts))
        (((0qool op)))
(defun end-condition (condi facts) (subsetp condi facts :test #'equal))
(defun rule-condition (rule facts)
```

```
(and (null (subsetp (cddr rule) facts :test #'equal))
```

```
(subsetp (car rule) facts :test #'equal) (car rule)))
```

```
(defun rule-action (rule match facts) (cons (caddr rule) facts))
```

Example: General Problem Solver

Specific Problem: Measuring an amount of liquid with two glasses

States:

z = (ContentGlas1 ContentGlas2)



Operations:

- f1: Fill Glass1
- f2: Fill Glass2
- e1: Empty Glass1
- e2: Empty Glass2
- g1: Give Glass1 in Glass2
- g2: Give Glass2 in Glass1

Search tree: 00 70 05 00 00 50 20 05 70 70 05 05 70 00 05 70 70 05 05 70 02 ... 72 ... 45 . . .

Problem:

```
(defun el(state)(list 0(cadr state)))
(defun e2(state)(list(car state)0))
(defun f1(state)(list w1(cadr state)))
(defun f2(state)(list(car state)w2))
(defun q1(state)
  (list(-(car state)(min(car state)(- w2(cadr state))))
    (+(cadr state)(min(car state)(- w2(cadr state))))))
(defun q2(state)
  (list(+(car state)(min(cadr state)(- w1(car state))))
    (-(cadr state) (min(cadr state) (- w1(car state))))))
(defun c(operation)1)
(setf w1 7)
(setf w2 5)
(setf problem '((0 0)(e1 e2 f1 f2 g1 g2)(4 0)c))))
(genericsearch problem)
\rightarrow
    ((4 \ 0))
```

(e2(4 5)g1(7 2)f1(0 2)g1(2 0)e2(2 5)g1(7 0)f1(0 0)) 7 7)

Solution:

(defun initstate (problem) (car problem)) (defun operations (problem) (cadr problem)) (defun goalstate (problem) (caddr problem)) (defun costfunction (problem) (cadddr problem))

```
(defun state (node) (car node))
(defun path (node) (cadr node))
(defun depth (node) (caddr node))
(defun cost (node) (cadddr node))
```

VRML Script Generation

Generation of VRML prototype instances within a Lisp program

Problem and Solution:

```
(setf vrmlscript01
"#VRML V2.0 utf8
EXTERNPROTO WALL [
       exposedField SFColor material
        exposedField SFVec3f translate
        exposedField SFRotation rotate
        exposedField SFVec3f scale
        exposedField SFVec3f bbox
        field MFString name
        field MFString reference
        exposedField SFInt32 on
        1
[\"Prototypes9a.wrl#WALL\"]
. . .
DEF Objects Transform {children [
")
(setf vrmlscript03
11
] }
")
```

```
(setf vrmlscript02
11
DEF Wal00 WALL {material 1 1 1 translate 500 180 15 rotate 0 1 0 0
  scale 1000 300 30
  bbox 1 1 1 name \"Wal00\" reference \"Wal00.wrl\" on 0}
DEF Wal01 WALL {material 1 1 1 translate 15 180 300 rotate 0 1 0 0
  scale 30 300 540
  bbox 1 1 1 name \"Wal01\" reference \"Wal01.wrl\" on 0}
. . .
")
(setf vrmlscript03
11
1 }
")
(defun writevrml()
 (setf file(open "c://Test//vrml15-01.wrl" :direction :output))
 (princ vrmlscript01 file)
 (princ vrmlscript02 file)
 (princ vrmlscript03 file)
 (close file))
```

(writevrml)

The End

