# **COMPLEXITY CONTROL OF HEVC FOR VIDEO CONFERENCING**

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# ABSTRACT

In this paper, we propose an effective complexity control approach for video conferencing scenarios on HEVC platform. A complexity control formulation is established to determine the number of depth-constrained largest coding units (LCUs) according to the target complexity. By limiting the maximum depths of different LCUs to different levels, the encoding complexity can be controlled with high accuracy. Different from other approaches, both the objective and perceptualdriven video quality are kindly preserved through taking both the objective and subjective weight maps into consideration when controlling the complexity. The experimental results demonstrate that our approach outperforms the state-of-the art approach with higher control accuracy. Also, despite of complexity reduction, our approach keeps the objective and perceptual-driven quality well.

*Index Terms*— HEVC, Encoding complexity control, Video conferencing

# 1. INTRODUCTION

Video conferencing is a live and visual communication method, which aims to provide high-resolution images and high-fidelity audio signals for people from different places. The advent of customer services like Microsoft's Skype, Apple's Facetime and Cisco's Meeting server, makes video conferencing more and more ubiquitous in people's daily life. However, the encoding of high-resolution videos, e.g., 4K and 8K, is such a time-consuming job that the low-delay transmission need of video conferencing cannot be satisfied. Thus, it is quite necessary to control the encoding complexity of video conferencing.

Some works [1, 2, 3, 4, 5, 6, 7] have been done to control the encoding complexity of HEVC. Specifically, Correa *et.al* [1] designed a method controlling the encoding complexity of HEVC in Group of Pictures (GOP) level, through adjusting the operational configurations during encoding time. Deng *et.al* [2] proposed a HEVC complexity control method Mai Xu<sup>†</sup>

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in largest coding unit (LCU) level. Relying on the concept of subjective weights, they reduce the coding depths of L-CUs with smaller weights to achieve control by solving a distortion-complexity formulation. Recently, based on a set of early termination conditions, Moreno et al. [3] proposed a complexity control approach for HEVC. However, to our best knowledge, there is no work done on complexity control for video conferencing. Actually, by leveraging the property of video conferencing, further improvements in control accuracy and video quality can be achieved as shown in this paper.

The quardtree-based coding tree unit (CTU) partitioning scheme [8] is an advance in HEVC. However, most timeconsuming components are included in it. In this scheme, each frame is divided into equal-sized blocks called LCUs. The size of LCU is designated by the encoder, default as  $64 \times 64$ . Another important parameter set by the encoder is the allowed maximum LCU splitting depth, or the maximum depth. It decides the size of the smallest coding unit (SCU), with the default depth as 3, indicating that  $64 \times 64$  LCU can be split into  $8 \times 8$  SCUs. Before the  $64 \times 64$  LCU gets its optimal depth, the rate-distortion-optimization (RDO) process should be done 85 (=  $1 + 4 + 4^2 + 4^3$ ) times. Obviously, the larger the maximum depth is, the more encoding time is consumed. However, the optimal depth is not always equal to the maximum depth, which is actually highly content-dependent. For example, as we can see in Fig.1, the texture of the wall is quite homogenous, and the optimal depths of most LCUs in this region are 0, despite of their maximum depths being 3. Thus, the basic complexity reduction thought in our approach is to predict the optimal depths of LCUs and then reduce their maximum depths based on the predicted optimal depths. As long as the prediction is accurate, there exists no bit-rate increase or PSNR loss. The aim of our approach is to control the encoding complexity of HEVC, with the controlling mechanism based on the following observation: when the maximum depth is reduced to a fixed value, the encoding complexity takes a nearly same proportion despite of sequence content. Fig.2 shows the complexity proportion occupied by different maximum depths. Specifically, when maximum depth is reduced from 3 to 2, 1, and 0, the complexity proportion is decreased from 1.00 to 0.65, 0.38 and 0.20. We have tested sequences with different resolutions and find this relationship

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**Fig. 1.** The picture is the 12-th frame of *Fourpeople* which is video conferencing. The green lines indicate the optimal LCU partition results. The number in the red/blue box is the optimal depth for that LCU.

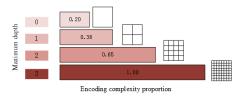


Fig. 2. Encoding complexity proportion occupied by different maximum depths.

applies with only a little difference.

In this paper, we propose a complexity control approach for video conferencing encoding using HEVC. The complexity is controlled by a proposed complexity control formulation. The basic idea is restricting the maximum depths of LCUs with low importance. The advantage of our approach is that in the process of complexity control, both the objective and subjective weight maps are considered, and thus the objective and subjective video quality can be preserved simultaneously.

### 2. PROPOSED METHOD

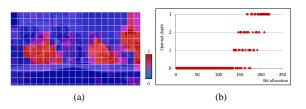
The basis of nearly all complexity control approaches is complexity reduction [9, 10, 11, 12]. In our complexity control approach, the core of complexity reduction is to reduce the maximum depths of LCUs with low importance. The importance of LCUs is measured from two aspects: objective and subjective.

#### 2.1. Objective weight map

The objective weight map is used to preserve objective quality and coding efficiency. Here, we propose to use the bitallocation map as the objective weight map, because we find that the bit-allocation map can tally with the optimal depth

**Table 1**. Average results of  $\mathcal{P}(D|B)$  of *Fourpeople* 

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$\mathcal{P}(D B)$	D=0	D=1	D=2	D=3					
B < 20	99.89	0.11	0.00	0.00					
$20 \le B < 40$	99.43	0.57	0.00	0.00					
$40 \le B < 60$	98.61	1.36	0.03	0.00					
$60 \le B < 80$	61.57	23.76	10.75	3.92					
$80 \le B < 100$	8.80	17.30	29.88	44.02					



**Fig. 3.** (a) is the objective weight map of 12-th frame of *Fourpeople*, i.e., bit allocation map of its previous frame. (b) shows the relationship between optimal depth and bit allocation. The horizontal axis in (b) is the ascending order of bits allocated to LCUs.

allocation well. As we can see in Figure 3-(a), the LCUs allocated with more bits tend to have larger optimal depths. Figure 3-(b) describe the relationship between bit allocation and optimal depth for 3-(a). Here, one significant observation is that LCUs with smaller bits have great chance being not split, i.e., the optimal depth is 0. Let  $b_j$  be the bits allocated to the *j*-th LCU, we can get the normalised objective weight of the *j*-th LCU,  $W_o(j) = \frac{b_j}{b_{max}}$ , where  $b_{max}$  is the largest bits among all LCUs in a frame.

In order to accurately analyse the dependency between the optimal depth and bit allocation,  $\mathcal{P}(D|B)$  is adopted, where D denotes the event that the optimal depth is 0, 1, 2 or 3, and B is the bit ascending order. For example,  $\mathcal{P}(D=0|B<20)$ indicates the probability of event that the optimal depth of L-CU is 0 when its allocated bit is ordered less than 20%. Table 1 shows the average results of  $\mathcal{P}(D|B)$  of Fourpeople. We can see that when the bit order is less than 20%, the probability of the event that LCUs do not split (i.e., D=0) is pretty high, i.e., 99.89. Thus, by setting the maximum depths of these LCUs to be 0, the encoding complexity can be saved with little quality and coding efficiency loss. Finally, since the bit allocation information of the current frame can only be obtained after encoding, we use the bit allocation map of its previous frame as the map for the current frame. This assumption is reasonable because there are few scene changes in video conferencing, and the experimental results also verify the effectiveness.

#### 2.2. Subjective weight map

The subjective weight maps aim to protect the perceptualdriven video quality. Here, we adopted the method in [13] to generate the subjective weight maps, because it is very fast. [13] can predict the saliency value of each pixel in frames. Let  $\{p_q\}_{q=1}^Q$  denote the saliency values of all Qpixels in *j*-th LCU, then the subjective weight of *j*-th LCU is  $W_s(j) = \sum_{q=1}^Q p_q/Q$ . The subjective weight maps can highlight the regions attracting people's attention most when they are watching videos. Intuitively, we hope to preserve the video quality of regions with large subjective weights.

However, the subjective weight has lower relevance with optimal depth. For example, many LCUs have large subjec-



**Fig. 4**. Illustration of complexity control algorithms for different target levels.

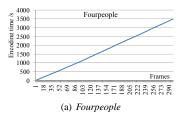


Fig. 5. Relationship between frames and sum encoding time.

tive weights but their optimal depths are pretty small. Relying only on subjective weights to determine the maximum depths of LCUs may incur objective quality and coding efficiency loss. By comparison, the objective weights can protect the objective quality, but may impair the perceived quality. Thus, in order to keep a balance between the objective and perceived quality, we take both the objective and subjective weights into consideration when deciding the maximum depths of LCUs in Section 2.3.

#### 2.3. Complexity control formulation

In our approach, complexity is controlled by adjusting the number of LCUs with different constrained maximum depths. Based on proportions in Fig. 2, the complexity control formulation is established as

$$\min_{\{N_i\}_{i=0}^3} \left| \frac{1}{J} \sum_{i=0}^3 P_i N_i - T_c \right| \quad \text{s.t.} \quad \sum_{i=0}^3 N_i = J, \quad (1)$$

where  $N_i$  is the number of LCUs with maximum depth being i, and J is the total number of LCUs in each frame.  $P_i$  is the complexity proportion occupied by maximum depth being i.  $T_c$  is the target complexity. We divide  $T_c$  into three levels: high ( $T_c$  is from 1.00 to 0.65), medium (from 0.65 to 0.45), and low (less than 0.45). Based on the  $T_c$  level, (1) is solved using different ways.

**High.** The target complexity is so high that there is no need to reduce the maximum depths to 0 and 1.  $N_0$  and  $N_1$  in (1) are set to 0, and then (1) can be turned to

$$\min_{\{N_2,N_3\}} \left| \frac{1}{J} \sum_{i=2}^3 P_i N_i - T_c \right| \quad \text{s.t.} \quad \sum_{i=2}^3 N_i = J. \quad (2)$$

**Medium.** The maximum depths of LCUs can be selected from  $\{0,1,2,3\}$ . However, there are some constraints on the selections. The LCUs with bits ordered less than 20%

should select 0, and LCUs with bits ordered from 80% to 100% should select 3 as their maximum depths. The other LCUs can select between 1 and 2. As we have explained in Section 2.1, setting the maximum depths of LCUs whose bits order is less than 20% to 0 has little effect on the coding efficiency and objective quality:

$$\min_{\{N_1,N_2\}} \left| \frac{1}{J - N_0 - N_3} \sum_{i=1}^2 P_i N_i - T_c \right| \text{ s.t. } \sum_{i=1}^2 N_i = J - N_0 - N_3$$
(3)

where  $N_0$  and  $N_3$  are both  $J \times 20\%$ .

**Low.** The target complexity is so low that most LCUs can only select their maximum depths between 0 and 1.  $N_2$  is set to 0. However, in order to guarantee the video quality, like the Medium, the LCUs with bits ordered from 80% to 100% are given optimal depths as 3. Then, (1) can be turned to

$$\min_{\{N_0,N_1\}} \left| \frac{1}{J - N_3} \sum_{i=0}^{1} P_i N_i - T_c \right| \quad \text{s.t.} \sum_{i=0}^{1} N_i = J - N_3.$$
(4)

For each complexity level, following the above formulations, it is easy to calculate and obtain  $\{N_i\}_{i=0}^3$ . Then, in each frame, after  $\{N_i\}_{i=0}^3$  is obtained, the j-th LCU can get its maximum depth based on its objective weight  $W_o(j)$  and subjective weight  $W_s(j)$ . Before that, we need to sort the objective and subjective weights of all LCUs in a frame. Let  $\{\lambda_p\}_{p=0}^2$  be the thresholds of LCU numbers with limited depths,  $\lambda_p = \sum_{i=0}^p N_i$ . Then, the thresholds of objective and subjective weights corresponding to  $\lambda_p$  are denoted by  $\mathcal{O}(\lambda_p)$  and  $\mathcal{S}(\lambda_p)$ , respectively. Table 2 presents the overall algorithm in determining the maximum depth  $D_j$  for the *j*-th LCU in a frame.

The target complexity for current frame can be updated based on the encoding time of its previous frames, to further increase the control accuracy. Here, the target complexity of the first M frames is set to 1.00, and their encoding time can be used to predict the total encoding time of the sequence:

$$E_f = \frac{F}{M} E_M,\tag{5}$$

where  $E_M$  is the encoding time of the first M frames and F is the frame number of sequence. As can be seen from Fig. 5, the encoding time is directly proportional to the frame number. Thus, it is reasonable to predict total encoding time using (5). The target encoding time per frame  $t_{frame}$  is obtained

$$t_{frame} = \frac{E_f}{F} \times T_c. \tag{6}$$

From the (M+1)-th frame on, the average encoding time per frame is denoted by  $t_{actual}$ .  $T_c$  is updated as follows: if  $t_{actual} < \alpha t_{frame}$ ,  $T_c$  of current frame is updated to  $T_c + a$ ; if  $t_{actual} > \beta t_{frame}$ ,  $T_c$  is updated to  $T_c - b$ . Here, we empirically set  $\alpha$  and  $\beta$  to 0.95 and 1.05, set a and b to 0.05, and M to 48, i.e., the first 12 GOPs.

Table 2. The Overall Algorithm of Our Approach

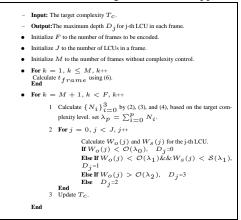


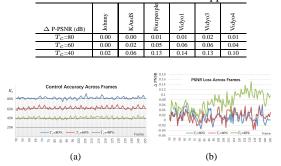
 Table 3. Test Sequences

Table 5. Test bequences							
Sequences	Resolution	Frames					
Johnny	$1280 \times 720$	600 @60fps					
KristenAndSara	$1280 \times 720$	600 @60fps					
Fourpeople	$1280 \times 720$	600 @60fps					
Vidyo_1	$1280 \times 720$	600 @60fps					
Vidyo_3	$1280 \times 720$	600 @60fps					
Vidyo_4	$1280 \times 720$	600 @60fps					

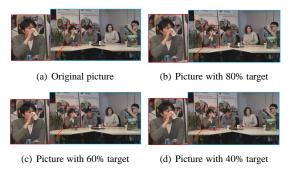
**Table 4.** Complexity control performance comparison between our and comparing approaches

$T_{C}=60\%$		Our approach		Comparing [3]		
	$R_{c}(\%)$	BD-PSNR	BD-rate	$R_{c}(\%)$	BD-PSNR	BD-rate(%)
Johnny	58.80	0.00 dB	0.00	65.18	0.00 dB	0.10
KristenAndSara	61.41	-0.01 dB	0.01	64.54	-0.03dB	0.11
Fourpeople	60.35	-0.01 dB	0.46	67.78	-0.03 dB	0.66
Vidyo1	57.39	-0.02 dB	0.26	67.24	-0.02 dB	0.24
Vidyo3	58.12	-0.06 dB	1.02	66.72	-0.07 dB	0.89
Vidyo4	62.21	-0.02 dB	0.30	63.32	-0.04 dB	0.87
Average	59.71	-0.02 dB	0.34	65.80	-0.03 dB	0.48
	Our approach			Comparing [3]		
$T_{C}=40\%$	$R_{c}(\%)$	BD-PSNR	BD-rate	$R_{c}(\%)$	BD-PSNR	BD-rate(%)
Johnny	42.33	-0.06 dB	2.32	35.22	-0.21 dB	5.72
KristenAndSara	40.10	-0.07 dB	3.25	33.57	-0.53dB	9.21
Fourpeople	41.23	-0.24 dB	6.50	42.83	-0.35 dB	10.78
Vidyo1	37.36	-0.06 dB	1.93	27.09	-0.23 dB	9.89
Vidyo3	38.37	-0.11 dB	3.67	31.39	-0.28 dB	10.32
Vidyo4	40.16	-0.11 dB	3.88	33.89	-0.21 dB	5.87
Average	39.92	-0.11 dB	3.59	34.00	-0.30 dB	8.63

Table 5.  $\Delta$  P-PSNR results of our approach



**Fig. 6.** (a) shows the running complexity across frames with 80%, 60%, and 40% targets of *Fourpeople*. (b) shows the corresponding  $\Delta$  PSNR across frames. Here,  $\Delta$  PSNR refers to the PSNR loss caused by complexity reduction.



**Fig. 7**. The 85-th frames of *Fourpeople* with different complexity reductions.

#### 3. EXPERIMENTAL RESULTS

Experiments were done on HM 16.0 and the test videos are video conferencing sequences selected from HEVC standard test sequences, shown in Table 3. The test condition was chosen according to [14], and lowdelay\_P\_main configuration is used, because video conferencing requires low latency.

Table 4 shows the results of control accuracy, BD-rate and BD-PSNR for 60% and 40% target complexities of our and comparing approach [3].  $R_c$  is the actual running complexity. We can see that our approach outperforms [3] in making  $R_c$  much closer to the target complexity  $T_c$  with small bias. Meanwhile, our approach keeps the objective quality well. For Johnny @60% there is even no PSNR loss. Fig.6-(a) plot the running complexity change with frames for different targets and we can see that the controlling process is basically steady with a little fluctuation. Fig.6-(b) shows the PSNR loss across frames and it is obvious that for 80% and 60% targets, there is little PSNR loss. Interestingly, many frames have negative PSNR loss indicating that we improve the PSNR while reducing the complexity.

We calculate perceptual driven quality P-PSNR following [15]. Table 5 shows the perceptual driven quality loss  $\Delta$  P-PSNR caused by complexity reduction in our approach. In this figure, the loss is negligible until 40%. Fig.7 show the same picture with different complexity reductions, and we cannot feel obvious quality distortion among them, especially among 80%, 60% and original picture.

### 4. CONCLUSION

In this paper, we propose an HEVC complexity control approach for video conferencing encoding. We integrate the video quality protection problem within the control process. Specifically, we propose two weight maps to keep the objective and perceptual-driven video quality and these maps are fully incorporated in the controlling algorithm. Thus, our approach can simultaneously ensure the control accuracy and preserve video quality, including objective and perceptual-driven. The experimental results verifies the effectiveness of our approach comparing to other state-of-the-art approach.

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