# ROBUST BLIND SOURCE SEPARATION IN A REVERBERANT ROOM BASED ON BEAMFORMING WITH A LARGE-APERTURE MICROPHONE ARRAY

Josue Sanz-Robinson, Liechao Huang, Tiffany Moy, Warren Rieutort-Louis, Yingzhe Hu, Sigurd Wagner, James C. Sturm, and Naveen Verma

Dept. of Electrical Engineering, Princeton University, Princeton, NJ 08544 {jsanz, liechaoh, tmoy, rieutort, yingzheh,wagner, sturm, nverma}@princeton.edu

#### ABSTRACT

Large-Area Electronics (LAE) technology has enabled the development of physically-expansive sensing systems with a flexible formfactor, including large-aperture microphone arrays. We propose an approach to blind source separation based on leveraging such an array. In our algorithm we carry out delay-sum beamforming, but use frequency-dependent time delays, making it well-suited for a practical reverberant room. This is followed by a binary mask stage for further interference cancellation. A key feature is that it is fully "blind", since it requires no prior information about the location of the speakers or microphones. Instead, we carry out k-means cluster analysis, to estimate time delays in the background from acquired audio signals that represent the mixture of simultaneous sources. We have tested this algorithm in a conference room ( $T_{60} = 350 \text{ ms}$ ), using two linear arrays consisting of: (1) commercial electret capsules, and (2) LAE microphones, fabricated in-house. We have achieved high-quality separation results, obtaining a mean PESQ MOS improvement (relative to the unprocessed signal) for the electret array of 0.7 for two sources and 0.6 for four simultaneous sources, and for the LAE array of 0.5 and 0.3, respectively.

*Index Terms*— BSS, microphone array, beamforming, source separation, LAE, reverberant room, large-area electronics.

# 1. INTRODUCTION

Large-area electronics (LAE) is a technology that provides a platform to build sensor systems that can be distributed over a physically-expansive space, while also supporting a wallpaper form factor [1]. This makes possible systems that can be seamlessly integrated into our everyday environment, enabling collaborative spaces that enhance interpersonal interactions. One example is an LAE microphone array we have demonstrated [2], that uses thinfilm piezoelectric transducers for sensing sound and acquires audio recordings using a custom CMOS readout IC. Such a system enables new possibilities for wide-scale deployment in noisy rooms, where multiple humans are speaking simultaneously. Using the spatially-distributed microphones, individual voice commands can be separated to enable collaborative human-computer interfaces. The aim of this work is to develop algorithms that accomplish voice separation in a practical room with practical speakers, who may change their location during the course of use.

When developing an algorithm for isolating different sources in a practical room, known as the blind source separation (BSS) problem, one of the principal challenges is the unpredictability of the acoustic path. Not only is the path affected by reverberations with surfaces and objects in the room, but human sources can move. To

This work is funded by the Qualcomm Innovation Fellowship, and NSF grants ECCS-1202168 and CCF-1218206.

solve BSS one approach is beamforming, which leverages the spatial filtering capability of a microphone array to isolate sources. Unfortunately, classical delay-sum beamforming is not well-suited to a practical room. This is because it uses pre-defined time delays that are independent of frequency between the microphones, with the aim of constructively adding the signal from a target source and destructively adding the signals from all interfering sources [3]. An alternative approach to BSS is to use algorithms based on a frequency domain implementation of independent component analysis (ICA), which typically exploit statistical independencies of the signals [4]. However, there are concerns about the robustness of these algorithms, especially in a reverberant room. This is due to the inherent permutation ambiguity of this approach, where after separation independently at each frequency, the components must further be assigned to the correct source. This necessitates an additional decision step [5].

Weinstein et al. [6] were able to isolate speech signals using conventional delay-sum beamforming, but had to utilize an array with over 1000 microphones to obtain acceptable results. Levi et al. continued to use conventional delay-sum beamforming, but incorporated a spectral subtraction step based on SRP-PHAT after beamforming, enabling an array with just 16 microphones [7]. Unfortunately, this approach is not blind, since it requires the location of the sources and microphones.

In this work we propose and demonstrate a beamforming-based algorithm for BSS, with the following main contributions:

- We also use delay-sum beamforming, but unlike prior work we do not use a single time delay across all frequencies for a given microphone-source pair. Rather we use frequency dependent time delays. This is needed since reverberations from the surfaces in a practical room lead to multipath propagation, for which a linear phase model is inadequate [8].
- 2. We crucially differ from other beamforming attempts by being blind, requiring no prior information about the location of the sources or microphones. The only information our algorithm needs about the environment is the number of sources. Thus, we avoid time consuming and technically challenging location measurements [9]. Furthermore, we make no assumptions about the propagation of sound in a room. Rather, we extract time delays for each microphone-source pair on the fly from the sound mixture of simultaneous sources. This enables our algorithm to adapt to the unique acoustic properties of each room (e.g., size, reverberation time, placement of objects) and a change in location of the sources. We use k-means clustering, an unsupervised classification technique, to identify a short (64 ms) frame at the beginning of the sound mixture in which only a single source is prominent, making such a frame well-suited for time delay extraction.
- 3. We apply our algorithm to experimental data from two adjacent linear arrays, measured in a conference room: (1) an array of

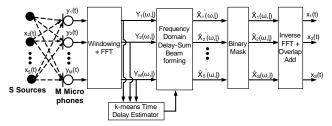


Fig. 1. Block diagram of our proposed algorithm.

commercial electret capsules, and (2) an array or LAE microphones, which are fabricated in-house [2]. The LAE microphones have non-idealities (e.g. non-flat frequency response, large variation across elements) compared to electret microphones, which arise due to fabrication in a large-area, thin, and flexible form factor. For both arrays we achieved high-quality separation results. Our algorithm outperformed simple beamforming and was competitive with Independent Vector Analysis (IVA) BSS, a modern frequency-domain ICA-based algorithm [10], while avoiding the associated permutation problem.

## 2. ALGORITHM

Figure 1 shows the block diagram of the proposed algorithm. The beamforming stage receives the convoluted mixture from all the sources in the room and carries out delay-sum beamforming with frequency-dependent time delays. These are provided by the k-means Time-Delay Estimator, wherein an optimal segment for estimation is first identified. To further cancel out interfering sources, the beamformer is followed by a binary mask stage.

#### 2.1. Problem Setup

The array consists of M microphones, which separate S simultaneous sound sources,  $x_s(t)$ . The sound recorded by each microphone,  $y_m(t)$ , is determined by the room impulse,  $h_{ms}(t)$ , between each source and microphone:

$$y_m(t) = \sum_{s=1}^{S} x_s(t) * h_{ms}(t).$$
 (1)

We designate one of the microphone channels as a reference, ref, and express the signal recorded in the time-frequency domain at this reference microphone, for frequency  $\omega$  and frame index l as:

$$Y_{ref}(\omega, l) = \sum_{s=1}^{S} X_s(\omega, l) |H_{ref s}(\omega)| e^{j\omega T_{ref s}(\omega)}$$
 (2)

where

$$H_{refs}(\omega) = |H_{refs}(\omega)|e^{j\omega T_{refs}(\omega)}$$
(3)

is the room impulse response in the frequency domain, and  $T_{ref\ s}(\omega)$  is the time delay between the reference microphone and a source s. Our objective is to recover each source s at the reference microphone, as if it were recorded with the other sources muted:

$$X_{ref}^{s}(\omega, l) = X_{s}(\omega, l) |H_{ref s}(\omega)| e^{j\omega T_{ref s}(\omega)}. \tag{4}$$

#### 2.2. Beamforming with Frequency Dependent Time Delays

The first step of our algorithm is delay-sum beamforming. During this step, for a given source we time align all microphone signals with respect to the reference microphone and sum them:

$$\widehat{X}_{ref}^{s}(\omega, l) = \sum_{m=1}^{M} Y_{m}(\omega, l) e^{-j\omega D_{ms}(\omega)}$$
 (5)

where  $D_{ms}(\omega)$  is the time delay between the reference and each microphone. In this way we constructively sum the contributions from the source we want to recover over all microphones, and attenuate the other sources though destructive interference.

In classical delay-sum beamforming,  $D_{ms}$  is treated as a constant, frequency-invariant value, such as found in anechoic conditions [3]. Instead, this implementation takes into account multipath propagation of sound in a reverberant room, which has the effect of randomizing the phase spectrum of the room impulse response [8].

#### 2.3. Binary Mask

To further suppress interfering sound sources, a binary mask,  $M_s(\omega, l)$ , is applied to the output of the delay-sum beamformer:

$$\widehat{X}_{ref}^{s}(\omega, l) = \widehat{X'}_{ref}^{s}(\omega, l) M_{s}(\omega, l). \tag{6}$$

When constructing a binary mask, frequency bins are assigned a value of 1 if they meet the following criterion, otherwise they are assigned a value of 0:

$$\frac{\widehat{|\widehat{X'}_{ref}^s(\omega,l)|}}{\max(|\widehat{X'}_{ref}^1(\omega,l)|,\widehat{|X'}_{ref}^2(\omega,l)|,\cdots,\widehat{|X'}_{ref}^S(\omega,l)|)} > \alpha \quad (7)$$

where  $\alpha$  is a constant threshold value that is experimentally tuned. After applying the binary mask, the inverse FFT is taken of each frame to recover the time domain signal, and successive frames are concatenated using the standard Overlap-Add method.

## 2.4. Time Delay Estimates Based on k-Means Clustering

Time delays between the reference and other microphones, can be estimated by making each source play a test sound one-by-one in isolation. A frame from the test sound, such as speech or white noise with the desired spectral content, can be used to find the time delays:

$$D_{ms}(\omega) = T_{ms}(\omega, l) - T_{refs}(\omega, l) = \frac{1}{2\pi f} (\angle X_m^s(\omega, l) - \angle X_{ref}^s(\omega, l)) = \frac{\phi_m(\omega, l)}{2\pi f}$$
(8)

where f is the frequency and  $\angle X_m^s(\omega, l)$  is the phase of a frame from the desired source recorded at microphone m.

We replace this calibration procedure by estimating the time delays directly from the signal when all sources are playing simultaneously. We are able to achieve this by using a standard implementation of k-means clustering based on euclidean distance [11]. We set the number of clusters, k, to be equal to the number of sources, S. A feature vector is extracted for each frame, which consists of the phase difference,  $\phi_{m'}(\omega,l)$ , between a given microphone, m', and the reference at the N frequencies of interest:

$$\phi_{m'}(\omega, l) = [\theta_{\omega 1}, \theta_{\omega 2}, \cdots, \theta_{\omega N}] \tag{9}$$

with  $\theta$  taken to be in the range  $[0, 2\pi)$ .

Our intent is not just to classify each frame as belonging to a given source, since many frames have spectral content from multiple sources. Therefore, estimating the time delay using the mean delay

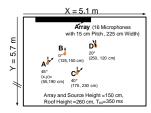
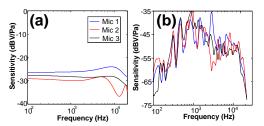


Fig. 2. Experimental room setup (top view). A, B, C and D are the speaker locations.



**Fig. 3**. Microphone sensitivity measured in an anechoic chamber. (a) Omnidirectional electret microphone, (b) LAE microphone.

over all frames in a cluster would lead to a poor estimate. Rather we want to identify the best possible frame from which to derive the time delays. To identify these frames we calculate the silhouette [12], s(l), for every feature vector, and choose the frame with the highest value:

$$s(l) = \frac{b(l) - a(l)}{\max(b(l), a(l))}$$
(10)

where a(l) is the mean distance between the feature vector from the frame with index l and all other feature vectors assigned to the same cluster. Then, the mean distances to the feature vectors corresponding to all other clusters are also calculated, and the minimum among these is designated as b(l). The value of s(l) is bounded between [-1,1], and a larger value indicates it is more likely a feature vector has been assigned to an appropriate cluster.

# 3. EXPERIMENTAL RESULTS

# 3.1. Setup Conditions

Experiments were carried out in a conference room, as shown in Figure 2, playing both two (B and C) and four (A, B, C and D) simultaneous sound sources from a loudspeaker (Altec ACS90). Table 1 has a summary of experimental conditions. The two linear arrays were mounted horizontally, with a PVDF microphone approximately 3 cm above a corresponding electret microphone; thus, allowing us to directly compare the performance of the two arrays. Each array used different elements: (1) Commercial omndirectional electret capsules (Primo Microphone EM-172); (2) LAE microphones, which are based on a flexible piezoelectric polymer, PVDF, and are fabricated in-house. Figure 3 shows the frequency response of both types of microphones, including the non-idealities of LAE microphones arising due to the fabrication methods which lead to their large-area, thin, and flexible form factor e.g. reduced sensitivity, a non-flat response and large variations across elements.

To assess the performance of our algorithm we used two metrics: (1) Signal-to-Interferer Ratio (SIR) calculated with the BSS\_Eval Toolbox [14] [15]; (2) PESQ using the clean recording from the TSP database [13] as the reference signal. PESQ mean opinion scores

Number of Sources S = 2 (B,C) and S = 4 (A, B, C, D) **Number of Microphones** M = 1615 cm (total array width = 2.25 m). Microphone Pitch 12 Harvard sentences from the Source Signals TSP database[13] (Duration = 30 s). Sampling Rate 16 kHz **Reverberation Times**  $T_{60} = 350 \text{ ms}$ Window Type Hamming STFT Length 1024 samples (64 ms) STFT Frame Shift 256 samples (16 ms) Reference Microphone Located at center of linear array. Threshold for Binary Mask  $\alpha = 1.4$  (see Equation 7).

Table 1. Experimental and Signal Processing Parameters

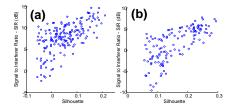


Fig. 4. SIR for time delays extracted from different frames versus the silhouette of the frame for two sources (a)Source B (b)Source C.

(MOS) range from -0.5 (bad) to 4.5 (excellent) [16].

#### 3.2. Time Delay Estimator Performance

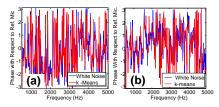
We compared the performance of our algorithm using time delays extracted under two conditions: (1) White Gaussian noise, which was played by each speaker one-at-a-time, before the simultaneous recording, and (2) from a single frame of simultaneous speech that was selected by our k-means-based silhouette criterion. It should be noted that to improve the estimate when extracting the time delays from white noise, the phase difference in Equation 8 consisted of the circular mean [17] calculated from 50 successive frames.

To identify the best frames for time delay extraction, we implemented k-means with 312 features vectors. Each feature vector was extracted from a different frame (frame length = 64 ms, frame shift =16 ms) taken from the first 5 s of the recording with the simultaneous sources. We used a total of 160 features, corresponding to the phase difference between the closest adjacent microphone and the reference microphone for each frequency bin between 500 Hz and 3000 Hz.

After k-means, the silhouette was calculated for all 312 feature vectors in order to select a feature vector per source for extracting time delays. Figure 4 validates the use of the silhouette as a metric for selecting a frame to use for time-delay extraction (calculated after the beamforming stage, using time delays extracted from the feature vector, for two simultaneous sources). Figure 5 shows a comparison, for two representative microphones in the array, of the phase delays estimated using white noise played in isolation versus those estimated from frames selected based on the silhouette. Good agreement is observed. Below we also compare the performance of our algorithm when using time delays from white noise and k-means. In most experiments there is only a small performance degradation for k-means, highlighting its effectiveness for enabling BSS.

## 3.3. Overall Algorithm Performance

A lower limit on performance is given by calculating the SIR and PESQ at the reference microphone before any signal processing. An upper limit is given by the PESQ at the reference microphone when



**Fig. 5.** Comparison of phase for two representative microphones extracted from white noise and k-means (a) Microphone 4, Source B; (b) Microphone 12, Source C.

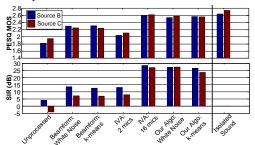


Fig. 6. Separating two sources with an array of electret microphones.

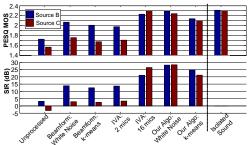


Fig. 7. Separating two sources with an array of LAE microphones.

only a single source is playing, using as a reference signal the clean anechoic recording that was inputted into the loudspeaker. In Figures 6 to 9, we show that for all configurations our algorithm successfully enhances speech, significantly increasing both SIR and PESQ. It also shows how our algorithm, combining beamforming followed by a binary mask, outperforms using only the beamforming stage.

To compare the performance of our algorithm with a modern, conventional BSS algorithm, we chose IVA BSS [10]. For a fair comparison the parameters of IVA were optimized, including using an STFT length of 1024 samples. When using the minimum number of microphones for IVA BSS (2 microphones for 2 sources, 4 microphones for 4 sources) our algorithm (using the entire 16 microphone array) outperforms by a wide margin. On the other hand when using IVA BSS with the entire array and selecting the best channels from the 16 separated outputs, IVA BSS and our algorithm perform at a similar level. For two sources both algorithms have PESQ values approaching the original isolated sound, but for four sources both sometimes fail to significantly enhance certain sources.

In Figure 6, when using two sources and the array with electret capsules, the PESQ is nearly the same as the upper limit (e.g. the sound played in isolation at the reference microphone), highlighting the effectiveness of our proposed algorithm. A mean PESQ improvement of 0.7 is obtained when comparing the blind algorithm (with k-means delays) to the unprocessed signal. In Figure 7, we repeat the same experiment with the LAE microphone array and find that

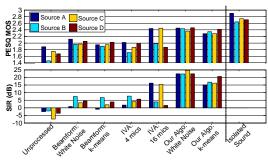


Fig. 8. Separating four sources with an array of electret microphones

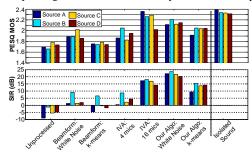


Fig. 9. Separating four sources with an array of LAE microphones.

the PESQ of the upper limit is lower, due to the reduced performance of the LAE microphones. In this case the PESQ from white noise is close to the upper limit, while the PESQ from k-means is lower. This suggests that the reduced sensitivity of the LAE microphones causes the time delay estimates, extracted from the mix with simultaneous sources, to degrade. Nevertheless, a mean PESQ improvement of 0.5 is still obtained

In Figure 8, we test the electret microphone array with four sources. The PESQ scores of our algorithm are no longer as close to the upper limit, due to the initial lower PESQ and SIR of most of the unprocessed signals. Nevertheless, speech is significantly enhanced, with a mean PESQ MOS improvement of 0.6. In Figure 9, we repeat the same experiment with the LAE microphone array and find the algorithm shows a larger degradation, with a mean PESQ improvement of 0.3. These results demonstrate how our algorithm can still provide improvements in speech quality even in settings where the unprocessed input signal has been severely degraded, due to non-ideal microphones and low initial SIR values.

## 4. CONCLUSION

We develop a beamforming algorithm for blind source separation using a large-aperture microphone array. The algorithm estimates time delays between each source and microphone from the sound mixture of simultaneous sources, by using k-means cluster analysis to identify suitable frames for the estimate. This enables our algorithm to be "blind", since we do not require the location of the microphones and sources, and can adapt to the acoustic properties of each room and a change in location of the sources. We tested the algorithm using both commercial electret and LAE microphone arrays, with two and four simultaneous sources, and in all cases we obtained significant improvements in speech quality, as measured with PESQ and SIR. These improvements, combined with the simplicity of our algorithm, makes it a strong potential candidate for a real-time implementation for an embedded system.

#### 5. REFERENCES

- [1] N. Verma, Y. Hu, L. Huang, W. Rieutort-Louis, J. Sanz-Robinson, T. Moy, B. Glisic, S. Wagner, and J. C. Sturm, "Enabling scalable hybrid systems: architectures for exploiting large-area electronics in applications," *Proceedings of IEEE*, vol. 103, no. 4, pp. 690–712, April 2015.
- [2] L. Huang, J. Sanz-Robinson, T. Moy, Y. Hu, W. Rieutort-Louis, S. Wagner, J. C. Sturm, and N. Verma, "Reconstruction of multiple-user voice commands using a hybrid system based on thin-film electronics and CMOS," *VLSI Symposium on Circuits* (*VLSIC*), vol. 1, no. JFS4-4, 2015.
- [3] J. Benesty, M. Sondhi, and Y. Huang, *Springer Handbook of Speech Processing*, Springer Verlag, pp. 1023-1029, 2008.
- [4] K. Kokkinakis and P. Loizou, Advances in Modern Blind Signal Separation Algorithms: Theory and Applications, Morgan and Claypool, pp. 7-20, 2010.
- [5] M.Z. Ikram and D.R. Morgan, "Exploring permutation inconsistency in blind separation of speech signals in a reverberant environment," *International Conference on Acoustics, Speech, and Signal Processing (ICASSP)*, pp. 1041–1044, 2000.
- [6] E. Weinstein, K. Steele, A. Agarwal, and J. Glass, "LOUD: A 1020-node microphone array and acoustic," *International Conference on Sound and Vibration (ICSV)*, July, 2007.
- [7] A. Levi and H. Silverman, "An alternate approach to adaptive beamforming using SRP-PHAT," *International Conference on Acoustics, Speech, and Signal Processing (ICASSP)*, pp. 2726–2729, 2010.
- [8] H. Kuttruff, "On the audibility of phase distortions in rooms and its significance for sound reproduction and digital simulation in room acoustics," *Acustica*, vol. 74, no. 1, pp. 3–7, June 1991.
- [9] J.M. Sachar, H.F. Silverman, and W.R. Patterson III, "Microphone position and gain calibration for a large-aperture microphone array," *IEEE Transactions of Speech and Audio Processing*, vol. 13, no. 2, Jaunary 2005.
- [10] T. Kim, H. Attias, S.Y. Lee, and T.W. Lee, "Blind source separation exploiting higher-order frequency dependencies," *IEEE Transactions on Audio, Speech and Language Processing*, vol. 15, no. 1, pp. 70–79, Jan 2007.
- [11] C. Bishop, Pattern Recognition and Machine Learning, Springer, pp. 423-427, 2006.
- [12] P.J. Rousseeuw, "Silhouettes: A graphical aid to the interpretation and validation of cluster analysis," *Journal of Computational and Applied Mathematics*, vol. 20, pp. 53–65, 1987.
- [13] P. Kabal, "TSP speech database," Tech. Rep., Department of Electrical and Computer Engineering, McGill University, Montreal, Quebec, Canada, September 2002.
- [14] E. Vincent, R. Gribonval, and C. Fevotte, "Performance measurement in blind audio source separation," *IEEE Transactions on Audio, Speech, and Language Processing*, vol. 14, no. 4, pp. 1462–1469, 2006.
- [15] C. Fevotte, R. Gribonval, and E. Vincent, "BSS EVAL toolbox user guide revision 2.0," Tech. Rep., April 2005.
- [16] A.W. Rix, J.G. Beerends, M.P. Hollier, and A.P. Hekstra, "Perceptual evaluation of speech quality (PESQ)," *International Conference on Acoustics, Speech, and Signal Processing (ICASSP)*, pp. 749–752, May 2001.

[17] K.V. Mardia and P. Jupp, *Directional Statistics*, Wiley, pp. 15, 2000