# FAST ADAPTIVE BLOCK MATCHING FOR RAY-SPACE CODING IN FTV SYSTEM

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### ABSTRACT

Ray-Space representation is the main approach to realizing free viewpoint television (FTV) with complicated scene. Data compression in ray-space is one of key technologies in ray-space based FTV systems. In ray-space based FTV system, block matching complication is the most important factor to influence coding efficiency. In this paper, a fast adaptive block matching algorithm is proposed by using starting search point prediction, still block determination and search stop criteria strategies. Experimental results show that the search speed is improved greatly as well as the coding efficiency.

# **1. INTRODUCTION**

FTV is expected to be the next generation of visual application. It allows the users to change his/her viewing point and viewing direction freely and enjoy more photorealistic 3D images. The techniques in FTV can be classified into two categories, that is, model-based rendering (MBR)<sup>[1]</sup> and image-based rendering (IBR)<sup>[2]</sup>. Ray-Space representation is a newly developed method of IBR, used to describe 3D information by converting the original multiview images to "ray" parameters<sup>[3]</sup>. Unlike other methods of IBR, the ray-space can generate an arbitrary viewpoint view without complicated analysis and rendering process. FTV scheme based on ray-space was adopted in the 3DAV draft presented by MPEG<sup>[4]</sup>.

At particular time  $t_0$ , ray-space can be described with a 3D function  $\{f(x, y, u)\}^{[5]}$ . In Fig.1, a ray-space section  $\{f(x, y_k, u)\}$  is formed by horizontal segmentation in plane coordinate system. Obviously, ray distribution in ray-space is different from pixel distribution in 2D image. For a given  $y=y_k$ , a ray-space data slice  $S(y_k)=\{f(x, y_k, u)\}$  is formed by transforming data in multi-view images with respect to  $y_k$ .

Because of enormous ray-space data, data compression becomes one of key technologies in the ray-space based FTV systems<sup>[6,7]</sup>. In this paper, inter-block correlations of ray-space data are analyzed. Then, a fast adaptive block matching algorithm for prediction coding of ray-space is

proposed. Experimental results show that the searching speed of block matching in ray-space data compression is improved greatly and the coding efficiency is also improved resultantly compared with the previous 2D image based ray-space data compression methods.

# 2. CHARACTERISTIC ANALYSIS IN RAY-SPACE SLICE SEQUENCE

In order to analyze characteristic of ray-space data, distribution of matching vector of full search is analyzed, the statistic results are given in Fig.2(a). It is seen that the matching vectors have obvious center-biased characteristic and concentrates highly on the neighbor of (0,0). Then the matching vector direction is analyzed without taking into account center point and vectors with horizontal or vertical directions. The results are shown in Fig.2(b), from which it is seen that the vector mainly distributes in rectilinear direction.

Ray-space has strong inter-block correlations, and the high coding efficiency will be achieved if inter-block correlations prediction is utilized. Here, block matching vector error coefficient is defined by

$$\lambda = (SAD_{\text{pred}} - SAD_{\text{best}}) / SAD_{\text{pred}}, \qquad (1)$$

where  $SAD_{pred}$  is minimum SAD obtained by inter-block matching vector prediction, and  $SAD_{best}$  is minimum SAD obtained by full search prediction.  $\lambda$  reflects matching





degree between best matching vector and predictive matching vector. Two inter-block prediction schemes are adopted, one is collocated block prediction which predicts matching vector of current block from the vector of the block of the same location in previous slice, the other is adjacent prediction which predicts the matching vector of current block from adjacent blocks at the left, top, and topright in current slice. Table 1 shows  $\lambda$  distribution in [0, 1] zone. Obviously, the current block is quite correlative with its adjacent blocks and the collocated block.

Further, inter-block correlation coefficient is analyzed. Let X[m, n] and Y[m, n] be two blocks,  $\overline{X}$  and  $\overline{Y}$  be their means respectively, inter-block correlation coefficient is defined as

$$R_{B} = \frac{\sum_{m=1}^{N} \sum_{n=1}^{N} (X[m,n] - \overline{X})(Y[m,n] - \overline{Y})}{\sqrt{\sum_{m=1}^{N} \sum_{n=1}^{N} (X[m,n] - \overline{X})^{2}} \sqrt{\sum_{m=1}^{N} \sum_{n=1}^{N} (Y[m,n] - \overline{Y})^{2}}} .$$
 (2)

Let  $R_1$ ,  $R_2$  and  $R_3$  be the block correlation coefficients corresponding to the left, top, and top-right blocks respectively, and  $R_4$  denote the block correlation coefficient corresponding to collocated block. Then adjacent block correlation coefficient is defined by  $R=\max{R_1, R_2, R_3}$  and collocated correlation coefficient is  $R=R_4$ . Fig.3 gives the correlation coefficients of the adjacent blocks and the collocated block. It is seen that inter-block correlation in ray-space is very strong and the collocated blocks correlation is even greater.

In some fast motion estimation algorithms, in order to reduce block matching calculation, it is usually supposed that the matching error increases monotonously along with searching location far away from global best motion vector. But in some cases it is not always satisfied and the search will always get into local optimization. If the motion vector field is smoothed, the local optimization problem will be easily solved. In this paper, starting search point prediction is performed. The prediction utilizes inter-block correlation as shown in Fig.4. Markov model is used to describe the correlations. Markov model can be expressed as  $P(V_t|V_{t-1},v_t)$ , the matching vector of current block is not only related to

Table 2 Markov parameters with respect to the left, top, top-right and collocated blocks.



matching vectors of adjacent blocks in current slice, but also related to matching vector of collocated block in previous slice. Their correlations can be expressed as

$$V(s) = \sum \omega_r V_1(s+r) + e(s) , \qquad (3)$$

where *s* represents the current block, *r* denotes the four adjacent blocks of current block, marked as  $r_1$ ,  $r_2$ ,  $r_3$  and  $r_4$ . V(s) and  $V_1(s+r)$  are the matching vectors of current block and the adjacent blocks, respectively. e(s) is Gauss noise sequence with zero mean. Let  $\Omega$  be the block set within one slice,  $s \in \Omega$ , and the block size is  $8 \times 8$ . Suppose  $V_s = [V_1(s+r_1), V_1(s+r_2), V_1(s+r_3), V_1(s+r_4)]$ , by least square error estimation, the following formula can be obtained

$$\omega_r = \left[\sum_{s} \boldsymbol{V}_s \boldsymbol{V}_s^T\right]^{-1} \left[\sum_{s} \boldsymbol{V}_s \boldsymbol{V}(s)\right].$$
(4)

By using the above method, Markov parameter estimation is performed for two typical background and foreground ray-space slices, and the results are given in Table 2. It is seen that for background region slices,  $\omega_4$  is the largest which indicates that collocated block correlation is the strongest. For foreground region slices, the correlations between adjacent blocks and collocated block are comparatively equal, since  $\omega_2$  is close to  $\omega_4$ .

For full searching, 44.8% of the matching vectors are (0,0), which indicates the best center-biased characteristic of ray-space. So a still block can be determined by a threshold  $Th_0$ , that is, if current block's *SAD* is lower than  $Th_0$ , it is regarded as a still block and skipped. Here, an adaptive threshold  $Th_0$  is defined by

$$Th_{0} = \begin{cases} \text{median}(SAD_{1}, SAD_{2}, SAD_{3}), & \text{if reference frame is I frame} \\ \min\{\text{median}(SAD_{1}, SAD_{2}, SAD_{3}), SAD_{4}\}, & \text{if reference frame is P frame} \end{cases}$$
(5)

In this paper, the combination of gradient diamond search mode and rectilinear direction search mode is adopted. In the gradient diamond search, the search mode with step size 2 is called as the large diamond search (LDS), while the search mode with step size 1 is called as the small diamond search (SDS). Based on ray-space characteristic analysis, ray-space matching vectors have significant rectilinear direction, so rectilinear direction strategy is commended. In some fast algorithms, SAD threshold has been used as the stop criteria to speed up the search. That is, the search process stops when the current minimum *SAD* of a block is less than a given threshold. But most of algorithms use a constant threshold, leading to inadaptability. Since there are strong correlations between current block and adjacent blocks, two adaptive thresholds  $SAD_{thrh1}$  and  $SAD_{thrh2}$ , are used, which is similar to threshold of early termination proposed in [8], defined by  $SAD_{thrh}=(1+\beta)SAD_{pred}$ , where  $SAD_{pred}$  is the *SAD* corresponding to the predicted matching vector, and  $\beta$  is a modulated factor which plays a key role in keeping a tradeoff between reconstructed quality and search speed.

According to above analysis, a fast adaptive block matching algorithm is proposed in this paper. Its main procedure is described as follows:

- Step 1: Let  $SAD_0$  be the SAD with respect to the zero matching vector (0,0), and  $SAD_i$  (*i*=1,2,3,4) correspond to SAD associated with the matching vectors of the three adjacent blocks and the collocated block. If reference frame of current block is I frame,  $SAD_{min}$ =median( $SAD_1$ , $SAD_2$ , $SAD_3$ ); if reference frame is P frame,  $SAD_1$  =min/median( $SAD_2$ ,  $SAD_2$ ,  $SAD_3$ )
  - $SAD_{\min} = \min \{ \text{median}(SAD_1, SAD_2, SAD_3), SAD_4 \};$
- Step 2: Still block determination: If  $SAD_0 \le SAD_{min}$ , then current block is considered as still block, so skip it to the next block.
- Step 3: If  $SAD_0=SAD_{min}$ , let the point indicated by zero matching vector as the search center, repeat SDS and modify the  $SAD_{min}$  until the best-matched point lies in the center of the diamond; If  $SAD_0>SAD_{min}$ , let the point indicated by the matching vector  $MV_{pred}$  with respect to  $SAD_{min}$  as the search center, and turn to Step 4;
- Step 4: If the two components  $V_x$  and  $V_y$  of the matching vector  $MV_{pred}$  are larger or smaller than 0 simultaneously, and satisfy  $(V_x)^2 + (V_y)^2 < T$ , the point indicated by  $MV_{pred}$  is considered to be located within the rectilinear search region, so search the next point in the rectilinear direction indicated by  $V_y/V_x$ . If the *SAD* of the new point is the minimum *SAD*, moreover, satisfy  $SAD_{min} < SAD_{thrh1}$ , then turn to step 6, otherwise, if the second condition is not satisfied then turn to step 5; If the *SAD* of the new point is not the minimum, then continue to search the next point in the rectilinear direction.

In other cases, that is, the point with respect to  $MV_{pred}$  is not within the rectilinear search region, then repeat LDS and modify the  $SAD_{min}$  until the best-matched point lies in the center of the diamond, and then turn to Step 5.

Note that if  $SAD_{\min} \le SAD_{thrh1}$  at anytime during the searching, then turn to Step 6, or if  $SAD_{thrh1} \le SAD_{\min} \le SAD_{thrh2}$ , then turn to Step 5;

Step 5: Do SDS once, and go to Step 6;





(b) The most left and right images of "Cup" Fig.5 Test multi-view images for Ray-space compression.

Step 6: Finish the searching process, and obtain the matching vector and the corresponding  $SAD_{min}$ .

#### **3. EXPERIMENTAL RESULTS**

In the experiments, two sets of grayscale multi-view images of real scene with the size of  $640 \times 480$ , are used for the testing purposes, the most left and the most right image of the multi-view images are given in Fig.5. "Cup" has 144 multi-view images, forming 480 slices with size of  $640 \times 144$ , and "Xmas" has 96 multi-view images, forming 480 slices with size of  $640 \times 96$ .

First, three coding methods for ray-space data compression are compared, and the coding performances are given in Fig.6. The intensity of rays from the same point on an object does not drastically change in different viewing direction, thus the ray-space slice looks like many overlapping or parallel rays with different luminances. Rayspace coding methods proposed by Fujii et al only utilize these 2D intra-slice redundancies, similar to still image coding technique, leading to low coding efficiency. It is clear that the correlations between adjacent slices are very strong and high compression efficiency can be obtained by using these correlations.

Then, the proposed fast adaptive block matching algorithm (FABMA) is compared with full search (FS), novel three step search (NTSS), four step search (FSS), block-based gradient descent search (BBGDS). Table 3 lists the comparison between the above methods. The items contain average PSNR,  $\delta_{PSNR}$  (difference from the PSNR of FS), check points that implies block matching time, and the speedup ratio compared with FS. The test sequences are still "Xmas" and "Cup", and the search range is 16. The results demonstrate that the proposed FABMA not only can achieve very similar search accuracy to FS, but also speed up the matching estimation largely. On the average, it runs 73% faster than BBGDS, 200% faster than FSS, and 500% faster than NTSS.

The above results can be explained by the following aspects. First, the smoother matching vector field obtained

by matching vector prediction may avoid the searching falling in local optimization. Secondly, FABMA begins its search from the matching vector of one of the intra-slice or inter-slice adjacent blocks, which usually enable it to find an appropriate point earlier. Thirdly, adaptive still block determination can reduce unnecessary search, so search speed is accelerated. At last, the proposed adaptive stop criterion assures that the final point is good enough.



(b) Coding results of "Cup" slice sequence Fig.6 Comparison between three compression methods in ratedistortion efficiency.

Table 3 Comparison of the proposed algorithm and other block matching algorithms.

Ray- space data	Search algorithm	PSNR (dB)	$\delta_{ m PSNR}$ (dB)	Check points	Speedup ratio
Xmas	FS	37.17	0.0	1089	1.00
	BBGDS	37.10	-0.07	9.9	110.00
	FSS	37.13	-0.04	17.1	63.68
	NTSS	37.16	-0.01	32.9	33.10
	FABMA	37.13	-0.04	5.7	191.05
Cup	FS	36.98	0.0	1089	1.00
	BBGDS	36.90	-0.08	9.4	115.85
	FSS	36.91	-0.07	17.3	62.94
	NTSS	36.92	-0.06	33.0	33.00
	FABMA	36.91	-0.07	5.4	201.67

### 4. CONCLUSIONS

Ray-space is an effective technology to realize FTV system with complicated scene. Since ray-space records ray data captured by multi-viewpoint system, the data quantity is extremely larger than normal video sequence. Therefore, effective compression comes to be a key technology to be solved in ray space based FTV system, and it is also associated with the complexity of the FTV system and the quality of the rendered free viewpoint images. To speed up the search of matching vector, an adaptive block matching scheme is proposed according to the characteristics of ray space correlations, and as a result, the coding efficiency is improved while the coding time is reduced. In the future work, 4D ray space compression will be investigated so as to make use of temporal correlation within ray space to achieve higher coding efficiency.

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