

# S-CODE: NEW MDS ARRAY CODES WITH OPTIMAL ENCODING

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## ABSTRACT

In this paper, we present a new description of the X-Code, a class of MDS array code, using skews, named S-Code. The X-Codes result in codewords that are arrays of size  $n \times n$ , where  $n$  is prime. Our new description does not require  $n$  to be prime but requires  $n$  to be an odd number with smallest prime factor greater than 3. We prove that the S-Codes result in a distance-3 MDS code. We also give a description of which slopes other than 1 and  $-1$  can be used to construct S-Codes.

## 1. X-CODE

Array codes [1] have applications in communications and storage systems [2]. Array codes use only XOR and cyclic shift operations for encoding and decoding procedures and are hence more efficient than Reed-Solomon Codes in terms of computational complexity [3].

Xu and Bruck [4] proposed a class of distance-3 MDS array codes called X-Code. The construction of X-Code is given below.

In X-Code, information symbols are placed in an array of size  $(n-2) \times n$ . Symbols are defined over any Abelian group with an addition operation  $+$ . Parity symbols are constructed from the information symbols along several parity check diagonals with the addition operation  $+$ . The parity symbols are placed in the bottommost two rows of the array. So the array is of size  $n \times n$  where rows 0 through  $n-3$  contain information symbols while rows  $n-2$  and  $n-1$  contain parity symbols. Each column has information symbols as well as parity symbols.

Let  $C(i, j)$  be the symbol at row  $i$  and column  $j$ . The parity symbols are computed according to the following encoding rules:

$$C(n-2, i) = \sum_{k=0}^{n-3} C(k, (i+k+2) \bmod n) \quad (1)$$

$$C(n-1, i) = \sum_{k=0}^{n-3} C(k, (i-k-2) \bmod n)$$

where  $i = 0, 1, \dots, n-1$ . Geometrically, the two parity rows are checksums along diagonals of slopes 1 and  $-1$  respectively. Let us see an example.

### Example 1

A  $5 \times 5$  X-Code array is constructed as follows. In the two schemes shown in Figures 1 and 2, every block in the array is numbered. The symbols in the blocks of the same number are added to form a parity symbol.

	0	1	2	3	4
0	0	1	2	3	4
1	1	2	3	4	0
2	2	3	4	0	1
3	3	4	0	1	2
4	4	0	1	2	3

Figure 1

	0	1	2	3	4
0	0	4	3	2	1
1	1	0	4	3	2
2	2	1	0	4	3
3	3	2	1	0	4
4	4	3	2	1	0

Figure 2

Note that the last rows of both schemes are not used. An example codeword is shown in Figure 3.

	0	1	2	3	4
0	1	0	0	1	1
1	0	1	0	1	1
2	0	0	1	0	1
3	0	0	1	1	0
4	1	1	0	1	1

Figure 3

Parity symbols in row 3 correspond to row 3 of the scheme in Figure 1. Similarly, parity symbols in row 4 correspond to row 3 of the scheme in Figure 2.

The X-Codes have optimal encoding/update complexity, i.e., a change of any single data symbol affects exactly  $d$  parity symbols.

X-Code is an  $(n, k)$  code where  $k$  is the number of information rows in the codeword. A code is MDS if the code distance,  $d$ , meets the Singleton bound [5]  $d \leq n - k + 1$  with equality. The X-Code is MDS because  $k = n - 2$  and it is shown in [4] that the X-Code has a column distance of 3, i.e.,  $d = 3$ . Distance-3 implies that either 2 column erasures or 1 column error can be corrected. Refer to [4] for decoding procedures of X-Codes.

## 2. S-CODE

In this section we use another approach to describe the construction of X-Codes. We name the code under the new construction rule S-Code. This alternative approach uses skews  $(1, 1)$  and  $(1, n - 1)$ .

An information symbol in row  $i$  and column  $j$  of the array is referred to as  $d(i, j)$ , where  $0 \leq i \leq n - 3$  and  $0 \leq j \leq n - 1$ . A parity symbol  $p(n - 2, k)$  in row  $(n - 2)$  where  $0 \leq k \leq n - 1$  is given by the following equation:

$$p(n - 2, k) = \sum_{i+j \equiv x \pmod{n}} d(i, j) \quad (2)$$

where  $(n - 2) + k \equiv x \pmod{n}$ . The  $k^{\text{th}}$  parity symbol in row  $(n - 2)$  is the sum of the information symbols in position  $(i, j)$  such that  $i$  and  $j$  satisfy the equation  $i + j \equiv x \pmod{n}$  where  $x$  is given by  $(n - 2) + k \equiv x \pmod{n}$ . Similarly the  $k^{\text{th}}$  parity symbol in row  $(n - 1)$  is given by the following equation:

$$p(n - 1, k) = \sum_{i+(n-1)+j \equiv y \pmod{n}} d(i, j) \quad (3)$$

where  $y$  is given by  $(n - 2) + (n - 1)k \equiv y \pmod{n}$ .

In [4] it was stated that  $n$  must be a prime number. However here it is only required that  $n$  be such that the smallest prime factor of  $n$  is at least 5, i.e.,  $n$  must be an odd number that does not have a prime factor of 3.

For a  $5 \times 5$  S-Code array, using the above construction rule we have two schemes shown in Figures 1 and 2, respectively. In each scheme, rows 0 through 2 correspond to information symbols. One of rows 3 and 4 corresponds to the parity bits but not both. According to the construction rule, row 3 corresponds to parity bits. For illustration purposes consider the entry  $(3, 1)$  in Figure 1. This entry is 4. This means that the co-ordinates  $(i, j)$  of the information symbols that will be added to compute  $p(3, 1)$  are specified by the co-ordinates of the occurrences of 4's in the first three rows of Figure 1. This implies that

$$p(3, 1) = d(0, 4) + d(1, 3) + d(2, 2) \quad (4)$$

Note again that rows  $n - 1$  of both schemes are not used. Row  $n - 2$  of each array is respectively stored in one of the last two rows of a codeword.

## 3. THE MDS PROPERTY OF S-CODE

In the following lemmas and theorems we let the  $(i, j)^{\text{th}}$  entry in one of the schemes resulting from (2) and (3) be  $e_a(i, j)$  and that of the other scheme be  $e_b(i, j)$ . Note that  $0 \leq i \leq n - 1$  and  $0 \leq j \leq n - 1$  and the same range applies to other variables denoting a row or a column. No proofs of lemmas are given in this manuscript because of space limitations.

**Lemma 1.** *The scheme resulting from (2) or (3) is a Latin square of order  $n$ . i.e., no row or column contains the same entry twice.*

**Lemma 2.** *There do not exist  $(i_1, j_1)$  and  $(i_2, j_2)$  where  $(i_1, j_1) \neq (i_2, j_2)$  such that  $e_a(i_1, j_1) = e_b(i_1, j_1)$  and  $e_a(i_2, j_2) = e_b(i_2, j_2)$ .*

**Lemma 3.** *If  $e_a(i_1, j_1) = e_a(i_2, j_2)$  where  $(i_1, j_1) \neq (i_2, j_2)$  then there do not exist  $(i_1, j_1)$  and  $(i_2, j_2)$  such that  $e_b(i_1, j_1) = e_b(n - 2, j_2)$  and  $e_b(i_2, j_2) = e_b(n - 2, j_1)$ .*

**Lemma 4.** *If  $e_a(i_1, j_1) = e_a(i_2, j_2)$  and  $e_a(i_3, j_2) = e_a(i_4, j_1)$  where  $(i_1, j_1)$ ,  $(i_2, j_2)$ ,  $(i_3, j_2)$ , and  $(i_4, j_1)$  are all distinct, then there do not exist  $(i_1, j_1)$ ,  $(i_2, j_2)$ ,  $(i_3, j_2)$ , and  $(i_4, j_1)$  such that  $e_b(i_1, j_1) = e_b(i_3, j_2)$  and  $e_b(i_2, j_2) = e_b(i_4, j_1)$ .*

**Theorem 1.** *The S-Codes have a code distance of 3.*

**Proof:** Observe that S-Code is a linear code, thus proving that the code has distance 3 is equivalent to proving that a valid non-zero codeword has minimum column weight of 3, i.e., among the  $n$  columns at least 3 are non-zero. A column is non-zero if at least one symbol in it is non-zero. Let the number of non-zero columns be  $w$ . We will show that  $w \geq 3$ .

Suppose that in the information array, only one column,  $j_d$  ( $0 \leq j_d \leq n - 1$ ), is non-zero. We consider two cases:

(1) Column  $j_d$  contains only one non-zero information symbol. By Lemma 1, exactly one parity symbol in row  $n$

– 2 is non-zero. Let it be in column  $j_{p1}$  ( $0 \leq j_{p1} \leq n-1$ ) then  $j_{p1} \neq j_d$ . Similarly exactly one parity symbol in row  $n-1$  is non-zero. Let it be in column  $j_{p2}$  ( $0 \leq j_{p2} \leq n-1$ ) then  $j_{p2} \neq j_d$ . By Lemma 2,  $j_{p1} \neq j_{p2}$ . Therefore there exist three non-zero columns,  $j_d, j_{p1}$ , and  $j_{p2}$ , i.e.,  $w = 3$ .

(2) Column  $j_d$  contains  $r$  ( $n-2 \geq r \geq 2$ ) non-zero information symbols. By Lemma 1, the  $r$  non-zero information symbols do not add up to form any parity symbols. The non-zero parity symbols distribute in at least  $r$  columns. By Lemma 1 and Lemma 2, column  $j_d$  is not among these  $r$  columns. Thus  $w \geq r+1$  where  $r \geq 2$ , i.e.,  $w \geq 3$ .

Now suppose that in the information array, two columns,  $j_{d1}$  and  $j_{d2}$  ( $0 \leq j_{d1} \leq n-1$ ,  $0 \leq j_{d2} \leq n-1$ ,  $j_{d1} \neq j_{d2}$ ), are non-zero. We consider four cases:

(1) Column  $j_{d1}$  contains only one non-zero information symbol at  $(i_1, j_{d1})$  and column  $j_{d2}$  contains only one non-zero information symbol at  $(i_2, j_{d2})$ .

If  $e_a(i_1, j_{d1}) \neq e_a(i_2, j_{d2})$  and  $e_b(i_1, j_{d1}) \neq e_b(i_2, j_{d2})$  then  $w \geq 3$  due to obvious reasons.

By Lemma 2 it is impossible that  $e_a(i_1, j_{d1}) = e_a(i_2, j_{d2})$  and  $e_b(i_1, j_{d1}) = e_b(i_2, j_{d2})$ .

If  $e_a(i_1, j_{d1}) = e_a(i_2, j_{d2})$  and  $e_b(i_1, j_{d1}) \neq e_b(i_2, j_{d2})$ , then the array will contain exactly 2 non-zero columns if and only if  $e_b(i_1, j_{d1}) = e_b(n-2, j_{d2})$  and  $e_b(i_2, j_{d2}) = e_b(n-2, j_{d1})$ . By Lemma 3 such  $(i_1, j_{d1})$  and  $(i_2, j_{d2})$  do not exist. Therefore there will be at least 3 non-zero columns, i.e.,  $w \geq 3$ .

(2) Column  $j_{d1}$  contains only one non-zero information symbol at  $(i_1, j_{d1})$  and column  $j_{d2}$  contains 2 non-zero information symbols at  $(i_2, j_{d2})$  and  $(i_3, j_{d2})$ . Then the array will contain exactly 2 non-zero columns if and only if  $e_a(i_1, j_{d1}) = e_a(i_2, j_{d2})$ ,  $e_a(i_3, j_{d2}) = e_a(n-2, j_{d1})$ ,  $e_b(i_1, j_{d1}) = e_b(i_3, j_{d2})$ , and  $e_b(i_2, j_{d2}) = e_b(n-2, j_{d1})$ . By Lemma 4 such  $(i_1, j_{d1})$ ,  $(i_2, j_{d2})$ , and  $(i_3, j_{d2})$  do not exist. Therefore there will be at least 3 non-zero columns, i.e.,  $w \geq 3$ .

(3) Column  $j_{d1}$  contains 2 non-zero information symbols at  $(i_1, j_{d1})$  and  $(i_4, j_{d1})$  and column  $j_{d2}$  contains 2 non-zero information symbols at  $(i_2, j_{d2})$  and  $(i_3, j_{d2})$ . Then the array will contain exactly 2 non-zero columns if and only if  $e_a(i_1, j_{d1}) = e_a(i_2, j_{d2})$ ,  $e_a(i_3, j_{d2}) = e_a(i_4, j_{d1})$ ,  $e_b(i_1, j_{d1}) = e_b(i_3, j_{d2})$ , and  $e_b(i_2, j_{d2}) = e_b(i_4, j_{d1})$ . By Lemma 4 such  $(i_1, j_{d1})$ ,  $(i_2, j_{d2})$ ,  $(i_3, j_{d2})$ , and  $(i_4, j_{d1})$  do not exist. Therefore there will be at least 3 non-zero columns, i.e.,  $w \geq 3$ .

(4) Both Columns  $j_{d1}$  and  $j_{d2}$  contain more than 2 non-zero information symbols. Since more than 2 pairs of sums will be generated among different columns,  $w \geq 3$ .

Lastly, suppose that in the information array three or more columns are non-zero. Then  $w \geq 3$ .  $\square$

We have shown  $w \geq 3$  in all cases. Therefore the S-Code has a code distance of 3.

#### 4. S-CODE WITH SLOPES OTHER THAN 1/-1

Recall that the S-Code was constructed using skews (1, 1) and (1,  $n-1$ ) in Section II. We now reconstruct the S-

Code using skews (1,  $a$ ) and (1,  $b$ ) such that  $1 \leq a \leq n-1$  and  $1 \leq b \leq n-1$ . The skew  $(p, q)$  corresponds to slope  $q/p$ , i.e., we will construct the X-Code with slopes  $a$  and  $b$ .

Using skews (1,  $a$ ) and (1,  $b$ ), construction rule (2) and (3) would be modified to (5) and (6).

$$p(n-2, k) = \sum_{i+aj \equiv x \pmod{n}} d(i, j) \quad (5)$$

$$p(n-1, k) = \sum_{i+bj \equiv y \pmod{n}} d(i, j) \quad (6)$$

where  $0 \leq i \leq n-3$ ,  $0 \leq j \leq n-1$ ,  $(n-2)+ak \equiv x \pmod{n}$ , and  $(n-2)+bk \equiv y \pmod{n}$ .

It is worth revisiting the lemmas introduced in Section 3. Lemma 1 requires that  $\gcd(a, n) = \gcd(b, n) = 1$ . Lemma 2 requires that  $\gcd(b-a, n) = \gcd(a-b, n) = 1$ . Lemma 3 requires that  $\gcd(a, b) = \gcd(2a-b, n) = \gcd(2b-a, n) = 1$ , and  $n$  must not have prime factors 2 or 3. If all these conditions are satisfied then the MDS property remains. Theorem 2 follows.

**Theorem 2.** *If  $\gcd(a, n) = \gcd(b, n) = \gcd(b-a, n) = \gcd(a-b, n) = \gcd(a, b) = \gcd(2a-b, n) = \gcd(2b-a, n) = 1$  and the smallest prime factor of  $n$  is neither 2 nor 3, then the S-Code constructed using skews (1,  $a$ ) and (1,  $b$ ) has a code distance of 3.*

**Proof:** This is a direct consequence of Lemmas 1 through 4 and Theorem 1.  $\square$

#### Example 2

We use skews (1, 2) and (1, 3) to construct a  $5 \times 5$  S-Code, i.e.,  $a = 2$  and  $b = 3$ , which satisfy the condition  $\gcd(a, n) = \gcd(b, n) = \gcd(b-a, n) = \gcd(a-b, n) = \gcd(a, b) = \gcd(2a-b, n) = \gcd(2b-a, n) = 1$ . The  $k^{\text{th}}$  ( $0 \leq k \leq n-1$ ) entry in row 3 is given by (7):

$$p(3, k) = \sum_{i+2j \equiv x \pmod{5}} d(i, j) \quad (7)$$

where  $3+2k \equiv x \pmod{5}$ . Similarly the  $k^{\text{th}}$  entry in row 4 is given by (8):

$$p(4, k) = \sum_{i+3j \equiv y \pmod{5}} d(i, j) \quad (8)$$

where  $3+3k \equiv y \pmod{5}$ . The two resulting schemes are shown in Figures 4 and 5 respectively. An example codeword is shown in Figure 6.

## 5. CONCLUSION

We have presented an alternative description of the X-Code of size  $n \times n$  using skews, called S-Codes. We have shown that  $n$  does not need to be a prime number. The constraint on  $n$  is that the smallest prime factor of  $n$  be at

least 5. A general condition is proposed for using other slopes to construct the S-Code. Future research will be to extend the code distance from 3 to  $d$  ( $d \geq 4$ ). Our preliminary research shows that, if  $n$  and the skews are carefully chosen, then applying more skews (thus more parity rows) would result in larger distances.

## 6. ACKNOWLEDGEMENT

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	0	1	2	3	4
0	0	2	4	1	3
1	1	3	0	2	4
2	2	4	1	3	0
3	3	0	2	4	1
4	4	1	3	0	2

Figure 4

	0	1	2	3	4
0	0	3	1	4	2
1	1	4	2	0	3
2	2	0	3	1	4
3	3	1	4	2	0
4	4	2	0	3	1

Figure 5

	0	1	2	3	4
0	1	0	0	1	1
1	0	1	0	1	1
2	0	0	1	0	1
3	0	0	1	1	0
4	0	0	1	1	0

Figure 6