# 1.2KBIT/S HARMONIC CODER USING AUDITORY FILTERS

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# ABSTRACT

In this paper, a very low bit speech coder at 1.2 kbps is newly proposed. Like the LPC vocoder, it only requires gain, pitch, and spectral information, but its quality is far superior. The synthesis method is one of harmonic coding, using sinusoids whose frequencies are multiples of the fundamental frequency, where the amplitudes of the sinusoids are adaptively modulated using Gammatone filters as a perceptual weighting filter. The sinusoids' phases are also adjusted so as to maximize the perceptual quality. In order to reduce the total bit rate to 1.2 kbit/s, a new segment coder for spectral information (LSP coefficients) using DP matching is also proposed. The quality of the synthesized speech was improved by 0.45 in the Mean Opinion Score (MOS) compared with that of the simple LPC vocoder operating at the same rate, and it was comparable to that of 2.4kbit/s MELP coder.

# 1. INTRODUCTION

Some of the recent low bit speech coders use an architecture based on the classical vocoder, though the quality of the synthesized speech is considerably improved compared with that of a simple vocoder. A 2.4 kbit/s or lower coder can use only a small amount of information to represent speech, so it is quite difficult to preserve good quality for CELP[1] type coders. The recent low bit coders might be classified into WI[2] (waveform interpolation), MELP[3] (mixed excitation linear predictive coding), or harmonic coding. In these coders, though the synthesized speech waveform does not exactly follow the input, the subjective quality is preserved through some perceptual redundancy reductions. Among those coders, the harmonic coder is considered to be the easiest with which to implement human auditory characteristics.

In this paper, a 1.2 kbit/s coder based on a "perceptual harmonic coder" is newly proposed. This coder uses sinusoids whose amplitude and phases are modulated to improve subjective quality of the synthesized speech. Then various phase and amplitude modulation methods were tested and compared through subjective listening tests. In order to reduce the total bit rate to 1.2 kbit/s, a new low bit spectral coding method was also proposed. Finally, the proposed 1.2 kbit/s coder was simulated and the synthesized speech quality was evaluated.

### 2. PERCEPTUAL HARMONIC SYNTHESIS

#### 2.1. CSW method

The speech synthesis method of the proposed coder is similar to that of a harmonic coder[4], which sums up sinusoids whose frequencies are multiples of F0, and synthesizes speech signals. The original harmonic coder, as proposed by Tribolett, controls each frequency of the sinusoids precisely, and phase also is controlled. The synthesis method employed here is a simplified version of the harmonic coder. The difference from the original is that no additional information other than gain, F0, and LSP is required. In this method, only the continuity of these parameters is ensured and the remaining information for the perceptual modulation is explicitly given at a receiver. We call this the CSW (continuous sinusoidal waveform) method. In the CSW method, synthesized speech is represented as Eq.(1),

$$s(t) = \sum_{i=1}^{N} a_i(t) sin\{i\omega_{p(t)}t + \phi_i(t)\}$$
(1)

where  $a_i(t)$ ,  $\omega_{p(t)}$ , and  $\phi_i(t)$  represent the amplitude, the phase, and the angular pitch frequency, respectively. N is the number of sinusoids to be added, which is determined by the Nyquist frequency and the pitch frequency. If  $a_i(t)$ were set to the spectral envelope obtained by LPC analysis, and all  $\phi_i(t)$  were set to zero or random phase, this coder would be an LPC vocoder. Our aim in the following is to perceptually control these parameters adequately to improve the "buzzy" quality of the LPC vocoder.

### 2.2. Perceptual phase modulation

Figure 1 shows a block diagram of the proposed 1.2 kbit/s coder. Here, how to insert the phases of the sinusoids at the receiver is described. Firstly Eq.(1) is modified as Eq(2).,

$$s(t) = \sum_{i=1}^{N} a_i(t) \sin\{i\omega_{p_{(t)}}t + \phi'_i(t) + \varphi_i(T)\}$$
(2)

where  $\varphi_i(T)$  denotes the *i*th sinusoid's phase at the end of the previous frame; this ensures phase continuity between adjacent frames. And  $\phi'_i(t)$  represents the phase variation in the present frame. This phase information affects the speech waveform in a pitch period. It is often said that human auditory perception is not so sensitive to this phase information, but the speech quality is definitely enhanced

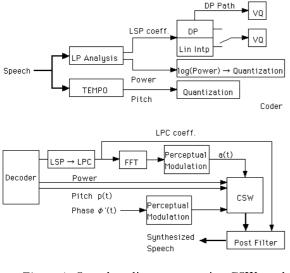


Figure 1: Speech coding system using CSW model.

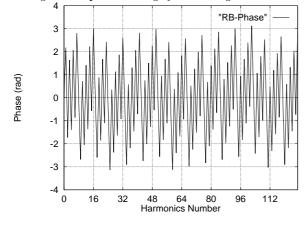


Figure 2: Harmonic phases of Rosenberg pulse.

if the phase information is decided carefully.

Various methods to supply phase information at the receiver without increasing the number of bits were tried. As a result, the speech quality is relatively good in methods (2) and (3) as follows. Method (1) is the control.

(1) Set all  $\phi'_i(t)$  to zero.

(2) Substitute the minimum phases for  $\phi'_i(t)$ .

(3) Substitute the harmonics' phases of the Rosenberg pulse for  $\phi'_i(t)$ , which is obtained by sampling the FFT phase spectrum of the Rosenberg pulse.

Figure 2.2 shows the harmonic phases of the Rosenberg pulse in method (3). In method (1), the perceptual effect of the phase is not considered and it's quality is equivalent to that of the LPC vocoder. These three methods were compared through a preference test with thirty-six sentences uttered by six different speakers. The results are shown in Table 2.2, with method (3) giving the best quality. The speech synthesized by method (3) was felt to be fairly natural and buzzy-less compared with that of method (1).

## 2.3. Perceptual amplitude modulation

As shown in Fig. 1, the amplitudes of the sinusoids are calculated from LSP coefficients by using FFT at the re-

Phase control method	Preference score(%)
Set all to zero	36.0
Minimum phase	43.7
$\operatorname{Rosenberg}$	70.0

Table 1: Subjective quality by phase control method.

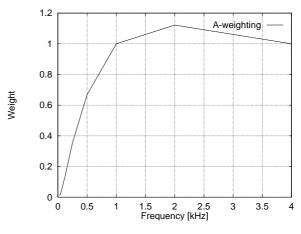


Figure 3: A-weighting characteristics.

ceiver. If these amplitudes were applied directly to the CSW method, the synthesized speech might be felt to be as buzzy as that of an LPC vocoder. This buzzy quality is caused by the complete harmonic structure of the spectrum. In MBE[5] or MELP, the excitation signal is composed of a mixture of impulse and noise, and the ratio of the mixture is determined adaptively by each sub-band, in order to avoid buzzy-ness and to improve perceptual quality. But these coders require additional bits to control the mixture. Here, how to improve the perceptual quality without additional bits is described. Unlike in MELP, the proposed method does not use mixing. Rather, the amplitudes of the sinusoids of the CSW method are adaptively modulated considering the perceptual quality.

Experiments with various amplitude modulation methods were conducted, and according to informal listening tests, the following two methods gave better quality. (1) Modulation with A-level weighting function: A-level weighting function is measured as the ratio of a perceptual sound intensity to a physical sound intensity, related with frequency. This function is shown in Fig. 3, and denoted by A(f). The amplitudes  $a_i(t)$  are linearly decreased to zero in the present frame, if satisfies Eq. (3).

$$a_i(t) < \mathcal{TH} \cdot \max_{j=1,N} \left\{ a_j(t) \right\} / A(f_i)$$
(3)

 $\mathcal{TH}$  is a constant to determine the threshold, and is the harmonic frequency corresponding to the *i*th harmonic sinusoid. (2) Modulation with Gammatone filters[6]: The former method with A-level weighting function modulates the amplitudes independently from the spectral structure of the input speech since the threshold is determined by the maximum value of  $a_i(t)$ . Then the Gammatone filter is introduced to modulate the amplitudes depending on the spectral structure. The Gammatone filter is one of the filters which simulate the auditory perceptual characteristics.

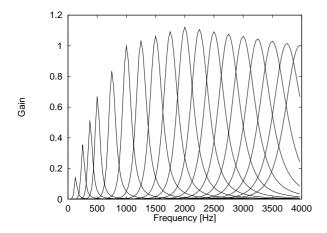


Figure 4: Frequency characteristics of Gammatone filter.

Threshold control method	Preference score(%)
None	44.6
A-weighting	56.7
Gammatone	73.0

Table 2: Subjective quality by threshold control method.

The characteristic of the Gammatone filter is given by Eq. 4,

$$GT_i(f) = A(f_i) \left[ 1 + j \frac{f - f_i}{b} \right]^{-n}$$
(4)

where n = 4, b = 1.019ERB on the ERB (Equivalent Rectangular Bandwidth)[7] frequency scale. This characteristic is shown in Fig. 4. The Gammatone filters are used to make a function which substitutes for in Eq. 3. This function is calculated as Eq. 5.

$$A_G(f) = \sum_{i=1}^{N_G} \int_0^{4kHz} H(f) GT_i(f) df$$
 (5)

where H(f) is the LPC amplitude spectrum. This function reflects the perceptual auditory sensitivity, which depends on a temporal spectral structure. The amplitude of the sinusoids are modulated the same way as in the former method,. By an informal listening test, the synthesized speech sounds quite natural and buzzy-less in both modulation methods. In order to compare these two methods, a preference test was conducted with the same speech samples in the preference test for phase modulation. In both methods, the Rosenberg harmonic phase is applied. The results are shown in Table 2.3, and the method with Gammatone filters is clearly superior to the others.

# 3. QUANTIZATION

# 3.1. LSP quantization

The proposed coder requires the quantization of gain, pitch frequency (F0), and LSP coefficients. In order to reduce the total bit rate to 1.2 kbit/s, the LSP coefficients must be quantized efficiently. Here, a new quantization method for LSP coefficients, named LIN-DP, which can reduce the

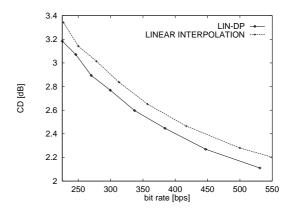


Figure 5: Cepstral distortion by LIN-DP.

bit rate for LSP to 450 bit/s is described. (1) Linear interpolation: Firstly, LSP coefficients of order 10 of the input speech are calculated each 8 ms to build a segment which has constant length. Then linear interpolation is carried out between each top frame of the segment. The sampling frequency of the input speech is 8 kHz. (2) DP matching: Secondly, DP matching is carried out, where the input pattern is the linear interpolated segment and the template pattern is the original segment. The DP path is restricted by Eq.6.

$$g(i,j) = \min \begin{bmatrix} g(i-1,j) + d(i,j) \\ g(i-1,j-1) + d(i,j) \\ g(i-1,j-2) + d(i,j) \end{bmatrix}$$
(6)

Where g() represents the sum of the distance up to the i - 1th frame, and d() is the distance at the *i*th frame. For quantization, the frequently used DP paths are recorded in a codebook, and the quantization is carried out in the same way as for VQ. DP matching is applied only if Eq. 7 is satisfied, that is, if enough gain from the DP matching is obtained.

$$\frac{D_L}{H} > D_P \tag{7}$$

His a positive constant larger than one,  $D_L$  and  $D_P$  are the distortion in the linear interpolation and in the DP matching, respectively. This selective use of DP matching reduces the total bits needed for the DP path quantization. As a result, the LIN-DP quantizes the top frame of the segment with split-VQ (10 bit + 10 bit), and outputs one bit of the DP switch bit. If the DP switch is on, then three more bits are added to quantize the DP path. Figure 5 shows the cepstral distortion in LIN-DP and in linear interpolation against bit rate. The bit rate is varied by changing the segment length from 48ms to 96ms. In all cases, LIN-DP quantizes LSP coefficients with lower distortion than linear interpolation. We have adopted the conditions corresponding to the point of 450bit/s, 2.26dB in Fig. 5.

## 3.2. Quantization of remaining parameters

Table 3 shows the bit allocation for the proposed coder. As described in the above subsection, for LSP quantization, 24 or 21 bits are allocated depending on whether or not DP is used. The pitch frequency is obtained by the TEMPO[8]

Parameters	Bit rat	te
LSP	10+10bit/seg	$450 \mathrm{bit/s}$
	(split VQ)	
DP switch	1bit/seg	
DP path	3 or 0bit/seg	
$\operatorname{Pitch}$	7bit/frame	$750 \mathrm{bit/s}$
Gain	5bit/frame	
Total		1.2kbps

Table 3: Bit allocation.

Caral	Conditions of conthecie
Speech	Conditions of synthesis
No.1	CSW only
No.2	Rosenberg+Gammatone
No.3	Quantized No.2(1.2kbps)
No.4	No.3+power control in UV frame $(1.2 \text{kbps})$

Table 4: Conditions of speech synthesis.

algorithm proposed by Kawahara, and quantized linearly with 7 bits each 16ms. The RMS of LPC residual power is used for the gain in the synthesis, whose logarithm is scalarly quantized with 5 bits each 16ms.

# 4. SUBJECTIVE TESTS

Finally, simple subjective listening tests were carried out with six sentences uttered by six different speakers. These tests consisted of preference tests and MOS tests with five levels (1-5) of scoring.

The synthesized speech to be evaluated is listed in Table 4. Speech No.4 in Table 4 was synthesized with decreased power for unvoiced frames. This improves the quality by decreasing the explosive noise perceived in a rising consonant period. The results are shown in Table 4. In the preference test, the quality of speech No.2 is clearly preferred over speech No.1, which was not perceptually modulated. After quantization, the quality of speech No.3 is slightly degraded, but it remains better than that of No.1. Most of this degradation is assumed to be caused by the guantization of LSP. Speech No.4 shows a little improvement compared with No.3, and this demonstrates that the power control in a consonant frame is efficient. Table 5 Results of the preference and MOS tests. In the MOS tests, results similar to those in the preference tests are obtained. In order to confirm the effects of the perceptual modulation, the proposed method was compared with an ordinary LPC vocoder operating at the same rate, and 2.4kbit/s MELP coder. The conditions for quantization in the LPC vocoder are the same as for those of the proposed method. The results are shown in Table 4. The proposed coder could clearly outperform the ordinary LPC vocoder, and its scores were comparable to those of 2.4kbit/s MELP coder.

### 5. CONCLUSIONS

In this paper, a new harmonic coder operating at 1.2 kbit/s using auditory perceptual characteristics is proposed. Perceptual phase modulation using Rosenberg pulse's harmonic phase, and perceptual amplitude modulation using Gammatone filters greatly improved the quality of the simple

Speech No.	1	2	3	4
Score(%)	42.9	62.8	46.8	48.7

Table 5: Results of the preference test.

	LPC	MELP	Proposed 1.2kbit/s coder
Pref. Score(%)	23.8	65.0	61.3
MOS	1.95	2.24	2.45

Table 6: Subjective test results for the proposed method, LPC vocoder, and MELP.

harmonic vocoder. The quality was comparable to that of 2.4 kbit/s MELP, and we were able show the possibility of coding speech at such a very low bit rate using perceptual modulations.

### 6. REFERENCES

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